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## Tropical climates at the Last Glacial Maximum: a new synthesis of terrestrial palaeoclimate data. I. Vegetation, lake-levels and geochemistry

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**Abstract** Palaeodata in synthesis form are needed as benchmarks for the Palaeoclimate Modelling Inter-comparison Project (PMIP). Advances since the last synthesis of terrestrial palaeodata from the last glacial maximum (LGM) call for a new evaluation, especially of data from the tropics. Here pollen, plant-macrofossil, lake-level, noble gas (from groundwater) and  $\delta^{18}\text{O}$  (from speleothems) data are compiled for  $18 \pm 2$  ka ( $^{14}\text{C}$ ),  $32^\circ\text{N}$ – $33^\circ\text{S}$ . The reliability of the data was evalu-

ated using explicit criteria and some types of data were re-analysed using consistent methods in order to derive a set of mutually consistent palaeoclimate estimates of mean temperature of the coldest month (MTCO), mean annual temperature (MAT), plant available moisture (PAM) and runoff (P-E). Cold-month temperature (MAT) anomalies from plant data range from  $-1$  to  $-2$  K near sea level in Indonesia and the S Pacific, through  $-6$  to  $-8$  K at many high-elevation sites

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to  $-8$  to  $-15$  K in S China and the SE USA. MAT anomalies from groundwater or speleothems seem more uniform ( $-4$  to  $-6$  K), but the data are as yet sparse; a clear divergence between MAT and cold-month estimates from the same region is seen only in the SE USA, where cold-air advection is expected to have enhanced cooling in winter. Regression of all cold-month anomalies against site elevation yielded an estimated average cooling of  $-2.5$  to  $-3$  K at modern sea level, increasing to  $\approx -6$  K by 3000 m. However, Neotropical sites showed larger than the average sea-level cooling ( $-5$  to  $-6$  K) and a non-significant elevation effect, whereas W and S Pacific sites showed much less sea-level cooling ( $-1$  K) and a stronger elevation effect. These findings support the inference that tropical sea-surface temperatures (SSTs) were lower than the CLIMAP estimates, but they limit the plausible average tropical sea-surface cooling, and they support the existence of CLIMAP-like geographic patterns in SST anomalies. Trends of PAM and lake levels indicate wet LGM conditions in the W USA, and at the highest elevations, with generally dry conditions elsewhere. These results suggest a colder-than-present ocean surface producing a weaker hydrological cycle, more arid continents, and arguably steeper-than-present terrestrial lapse rates. Such linkages are supported by recent observations on freezing-level height and tropical SSTs; moreover, simulations of “greenhouse” and LGM climates point to several possible feedback processes by which low-level temperature anomalies might be amplified aloft.

## 1 Introduction

The climate of the last glacial maximum (LGM) continues to present challenging problems for palaeoclimatologists and climate modellers, now nearly 20 y after the publication of Peterson et al.’s (1979) pioneering synthesis of terrestrial palaeodata and the CLIMAP (1976, 1981) reconstruction of conditions at the ocean surface. In some ways, our ignorance of the processes determining the nature of the glacial climate regime appears to have grown since then. The existence of ice-core records and the resulting discovery of major changes in the atmospheric concentrations of the greenhouse gases  $\text{CO}_2$ ,  $\text{CH}_4$  and  $\text{N}_2\text{O}$  between the LGM and Holocene has presented new, unsolved problems about the regulation of natural changes in global biogeochemical cycles, their linkages to one another and their coupling with the physical climate system (Lorius and Oeschger 1994). The discovery of rapid climate changes during the last glacial period challenges our ability to predict the coupled physical dynamics of atmosphere, ocean and ice (Johnsen et al. 1992; Bond et al. 1993; Broecker 1997). The need to understand the processes which bring about major

state changes in the Earth’s climate is made clear by atmosphere-ocean model simulations showing that there are complex transient effects in the response of climate to anthropogenic changes in greenhouse gas budgets (Rahmstorf 1994; Stocker and Schmitter 1997), and suggest a potential for further feedbacks on atmospheric carbon dioxide concentration [ $\text{CO}_2$ ] (Sarmiento and le Queré 1996; Sarmiento et al. 1998). A focus on the tropics, in particular, is justified by the current debate about the effectiveness, or otherwise, of homeostasis in tropical temperatures when forced by changes in [ $\text{CO}_2$ ] (Kattenberg et al. 1996). If we are able to reconstruct and successfully model the climate response of the tropics at the LGM, we will have reason to be more confident in our ability to estimate the global climate sensitivity to changes that may be brought about by human actions.

Several scientific developments since the Peterson et al. (1979) synthesis have greatly increased the amount of information available on the state of different aspects of the Earth system at the LGM. They include:

### 1.1 LGM boundary conditions

These can now be specified with greater confidence and precision than was possible when the first simulations of LGM land climates were performed (Williams et al. 1974; Gates 1976; Manabe and Hahn 1977; Manabe and Broccoli 1985a, b; Broccoli and Manabe 1987; Kutzbach and Guetter 1986). We now have a globally consistent reconstruction of ice distribution and relative sea-level changes from the LGM to present (Peltier 1994); measurements of LGM atmospheric concentrations of the major greenhouse gases (Barnola et al. 1987; Chappellaz et al. 1990; Leuenberger and Sigenthaler 1992); and a better specification of LGM insolation forcing following the discovery that the  $^{14}\text{C}$  age of 18 ka for the LGM represents  $\approx 21$  ka in astronomical years (Bard et al. 1990; Kutzbach et al. 1993).

### 1.2 Palaeoclimate modelling

This has advanced considerably, due to the availability of faster computers and the progressive refinement of atmospheric and ocean models. Palaeoclimate simulations with atmospheric general circulation models are now commonly performed for  $\geq 10$  annual cycles, and often include interactive oceanic components. The achievable spatial resolution in global models has improved to  $2\text{--}3^\circ$ , and representations of land-atmosphere interactions have become more realistic (Houghton et al. 1996). Simulations including coupling to dynamical ocean models (e.g. Kutzbach and Liu 1997; Hewitt and Mitchell 1998) and biosphere models (e.g. Foley et al. 1998) can now be undertaken. In

addition, simplified, lower-resolution but fast models are being developed that show promise in elucidating the long-term interactions of different components of the climate system (Ganopolski et al. 1998). The generality of model results can be assessed thanks to the Palaeoclimate Modeling Intercomparison Project (PMIP) (Joussaume and Taylor 1995; Joussaume et al. 1998; Pinot et al. this volume), which has specified a small number of standard model experiments for 6 ka and 21 ka that are being carried out by about 20 modelling groups.

### 1.3 Acquisition of terrestrial palaeodata from the LGM

This has continued, and in particular there have been major efforts to obtain records from sediment cores in previously data-sparse regions in the tropics. Dating accuracy has also improved thanks to the increasing use of AMS for  $^{14}\text{C}$ -dating small samples of material of identifiably terrestrial origin (e.g. plant macrofossils), thus avoiding the problems of dating sediments mostly devoid of carbon.

### 1.4 Data syntheses

These have been carried out on a more comprehensive and rigorous basis than before, starting with the Global Lake Level Data Base (Street-Perrott et al. 1989) and the regional pollen and lake-level data compilations carried out within the COHMAP project (Wright et al. 1993) and continuing through the IGBP-sponsored BIOME 6000 project (Prentice and Webb 1998), which includes the compilation of pollen records for the LGM and their translation into palaeobiomes using a standard methodology (Prentice et al. 1996; Prentice, Jolly and BIOME 6000 participants, unpublished data).

### 1.5 Understanding and interpretation of the “classical” data sources

This has improved greatly. Different types of data often respond to different seasonal aspects of climate, and such differences may account for apparent discrepancies between proxies (e.g. the coexistence of high lake stands with steppe vegetation in the Mediterranean region at LGM: Prentice et al. 1992a). Calibration methods using multiple data sets have been developed (Guiot et al. 1993). Possible effects of  $\text{CO}_2$  on treeline elevations (Street-Perrott 1994; Crowley and Baum 1997; Street-Perrott et al. 1997) have been recognized and included in ecosystem models (Jolly and Haxeltine 1997). Interpretation of changes in equilibrium-line altitude (ELA) of glaciers is understood to require consideration of precipitation changes as well as temperature changes (Zielinski and McCoy 1987; Hostetler

and Clark 1997), and observational and modelling studies of recent glacier variations have suggested the existence of feedbacks that may amplify the response of ELA to temperature changes at sea level (Oerlemans 1986; Crowley and Kim 1996; Diaz and Graham 1996; Crowley and Baum 1997; Martin et al. 1997).

### 1.6 New data sources

These have been developed, including the “noble gas thermometer” in groundwater (Stute et al. 1992; Stute and Schlosser 1993) and  $\delta^{18}\text{O}$  in speleothems (Lauritzen 1993, 1996) as records of mean annual (subsurface) temperatures on land.

### 1.7 Our understanding of the temporal context of the LGM

This has changed drastically, due to the recognition of large synchronous climate fluctuations during the glacial stages in high-resolution climate records from Greenland ice (Johnsen et al. 1992; Dansgaard et al. 1993), marine foraminiferal records (Bond et al. 1993), terrestrial pollen records (Grimm et al. 1993; Watts et al. 1996) and loess (Porter and An 1995; Guo et al. 1996; Chen et al. 1997). This context makes it important to consider the relative response times of different types of data, and to avoid the mistake of correlating LGM with short-lived cold episodes, such as the last Heinrich event (H1) in the North Atlantic. Thus, the selection of data to correspond to the LGM should be based on independent dating, and not simply on locating the most extreme conditions during the much longer period correlative with isotope stage 2.

### 1.8 Our purpose

Against this background, we find it timely to present a new synthesis of the available palaeoclimatic data from terrestrial records at the LGM. We focus on the region between  $32^\circ\text{N}$  to  $33^\circ\text{S}$ , because of the continuing interest in the problem of reconciling terrestrial and marine records from the tropics (Webster and Streten 1978; Rind and Peteet 1985; Anderson and Webb, 1994; Guilderson et al. 1994; Thompson et al. 1995; Broecker 1997) and because of the key importance of the tropical temperature response in discussions about future climates (Houghton et al. 1996). The choice of latitudinal limits beyond the tropics (*sensu stricto*) enabled us to include important data points from the subtropics.

We define the LGM by a  $^{14}\text{C}$ -age bracket of  $18 \pm 2$  ka. Although this period was clearly not climatically homogeneous, the independent dating control on most records is insufficient to allow greater precision while the  $\pm 2$  ka tolerance range is narrow enough

to exclude the largest anomalies, namely the Heinrich events that occurred before (H2:  $\approx 22\text{--}21$  ka  $^{14}\text{C}$ ) and after (H1:  $\approx 15\text{--}14$  ka  $^{14}\text{C}$ ) the LGM (Bond et al. 1993). This chronological criterion is applied strictly. Thus, we do not consider data from other times during isotope stage 2 as representing the LGM. This is an important difference between our approach, which is oriented towards comparison with model results (Pinot et al. this volume) for a specific time period, and some discussions in the literature which have focused on the question of the maximum degree of cooling during the entire last glacial interval (e.g. Colinvaux et al. 1996). We insist on the distinction because the LGM as defined in terms of global ice volume does not necessarily coincide with the timing of maximum cooling (or even local glacier advance) in any particular region. If data were aggregated from a broader time interval, the result might be a composite of diachronous climates and might therefore correspond to no physically possible state of the global climate system.

Our principal aim has been to assemble the extant information on tropical terrestrial palaeoclimates at the LGM from the approximately 200 sites that fall in the geographical and temporal ranges specified, and to extract where possible quantitative estimates of the difference between LGM and present climate. Geochemical or statistical methods have been used to reconstruct climatic differences at a limited number of sites; these reconstructions were accepted without modification provided they met certain standards. More often indirect estimates have been made, e.g. based on converting elevation shifts of vegetation zones to changes in temperature. We re-evaluated these indirect estimates, based on the originally published data, using a standard methodology including an objective method to estimate lapse rates. Estimates of aspects of the water balance (plant-available moisture and precipitation minus evapotranspiration) were also compiled, but on a qualitative basis.

## 2 Potential sources of information on tropical palaeoclimate

Many data sources could potentially be used to reconstruct tropical palaeoclimates. No one source has all the characteristics to make it ideal: wide spatial distribution, high temporal resolution, good dating control, direct and well-understood climatic controls, and the potential to yield quantitative climate reconstructions. Furthermore, since individual types of data respond to different seasonal aspects of the climate, multiple types need to be used when reconstructing past climates. The use of multiple types of data also makes it possible to check whether reconstructions based on individual types are physically consistent. The data sources used here are pollen and plant macrofossil records; geochemical and isotopic records from fossil water or carbonates; and lake-level reconstructions based on geomorphic and stratigraphic records. A new synthesis of glacial ELA reconstructions from geomorphic records in tropical mountain regions is underway, and will be reported in a subsequent study (Part II). In the following section we describe the characteristics of each data type in turn, and its relationship to climate.

### 2.1 Pollen and plant macrofossil data

Pollen grains are quantitatively preserved in mire and lake sediments that can be dated by  $^{14}\text{C}$ . Pollen data are the largest and most widespread source of information on Late Quaternary palaeoenvironments. Despite differences in the production and dispersal of pollen from different plant taxa, pollen assemblages from sediments can be interpreted as a quantitative record of the surrounding vegetation (Prentice 1988). Regionally dominant taxa are normally well represented and smaller counts of less abundant taxa provide additional information, allowing pollen assemblages to be assigned correctly to biomes (Prentice et al. 1996). Procedures to assign biomes, and to make palaeoclimate estimates, can be calibrated using the large sets of pollen data in modern (surface) samples that have been assembled for many regions (e.g. Guiot 1990; Cheddadi et al. 1996; Jolly et al. 1998b; Yu et al. 1998).

Most pollen grains are identifiable to genus or family. Plant macrofossils can often be identified to species. Macrofossils are preserved in some sediments and can provide additional information, for example by distinguishing climatically indicative species within widely distributed genera (Jackson et al. 1997). Macrofossils are abundant in middens made by *Neotoma* (packrats) or *Hyrax* in arid regions. Such middens are  $^{14}\text{C}$ -datable and provide a rich source of information on Late Quaternary palaeoenvironments (Van Devender et al. 1987).

Plant taxon and biome distributions are highly sensitive to several aspects of the atmospheric environment. These geographic distributions can be characterized today in a climate space which globally has at least three dimensions, e.g. the mean temperature of the coldest month (MTCO), total growing-season warmth (indexed by growing degree days above a threshold temperature for growth), and plant-available moisture (PAM) (Woodward 1987; Prentice et al. 1992b; Sykes et al. 1996). (PAM represents the availability of soil moisture to satisfy the plants' demand for evapotranspiration; if PAM is reduced, plant carbon gain is also reduced.) Knowing the biome, or knowing the assemblage of plants and their bioclimatic ranges, one can locate a given palaeoenvironment in this space. Changes in pollen assemblages therefore often give information about changes in more than one climate variable (for example, the effects of changes in cold-month temperatures and PAM have different and independent effects on vegetation). Various techniques are used to make climatic inferences, including qualitative comparisons of plants identified with their modern distributions; quantitative estimates of minimum and maximum changes required to produce a given biome shift; and quantitative palaeoclimate estimates based on statistical calibration of modern pollen samples (transfer-function, modern-analog and response surface techniques: Guiot 1991; Bartlein and Whitlock, 1993).

In tropical mountain regions, comparisons of LGM-age pollen samples with vegetation or modern pollen samples from different elevations have often been used to estimate shifts in the apparent elevation of specific forest belts or treeline. The reconstructed shifts in apparent elevation are then multiplied by a lapse rate to yield temperature change estimates. However, since photosynthesis and stomatal conductance are sensitive to atmospheric  $[\text{CO}_2]$ , variations in  $[\text{CO}_2]$  can in principle modify the response of plants to climate and therefore constrain the locations of plant distributions in climate space. Biome-level responses can only be inferred by ecosystem modelling based on experimental results at the plant and ecosystem levels because  $[\text{CO}_2]$  is essentially constant spatially today (Walker and Steffen 1997). Nevertheless, the LGM distributions of plant taxa and biomes in relation to climate may have been modified by low  $[\text{CO}_2]$  (Davis, 1991). Tropical mountain treeline, in particular, is thought to be potentially sensitive to low  $[\text{CO}_2]$ . The trees near treeline exist today under conditions of extremely low primary productivity, due to a combination of year-round low temperatures and a low internal partial pressure of  $[\text{CO}_2]$  (Street-Perrott 1994; Street-Perrott et al. 1997). If  $[\text{CO}_2]$  were further lowered then primary productivity could become too low to sustain tree growth. One model result indicates that LGM treelines in the

tropics might have been lowered by hundreds of metres due to physiological effects of 190 ppmv [CO<sub>2</sub>], i.e. a lowering of the same order of magnitude as that observed (Jolly and Haxeltine 1997).

Changes in the upper elevational limits of montane forest belts, however, are probably unresponsive to [CO<sub>2</sub>]. The upper elevational limits of lowland and lower-montane rainforest trees are determined by the fact that these taxa, unlike taxa from higher elevations, have no protection mechanisms against chilling or frost (Woodward 1987). We therefore assume that these limits are controlled by MTCO. In the tropics *sensu stricto* and especially in the wet tropics, MTCO and mean annual temperature (MAT) must be closely correlated because of the relatively low seasonal range of temperatures. In the subtropics these two variables can diverge, e.g. any mechanism that would produce colder winters while not affecting the other seasons would reduce MTCO considerably more than MAT.

Low [CO<sub>2</sub>] at the LGM might also have led to “physiological drought” since stomatal conductance is generally greater at low [CO<sub>2</sub>], causing greater transpiration per unit leaf area, and is likely to have favoured plants with the C<sub>4</sub> pathway of photosynthesis (e.g. tropical grasses), which concentrate CO<sub>2</sub> around the chloroplasts, over the C<sub>3</sub> pathway used by most temperate plants and all trees. These effects would not be confined to high elevations and might have contributed to a general reduction in PAM, as defined here, and an expansion of tropical grasslands at the expense of forests.

## 2.2 Noble gases in groundwater

The solubility of the noble gases (Ne, Ar, Kr, Xe) in water is temperature dependent. Both solubility and its sensitivity to temperature increase with atomic mass (Mazor 1972). As water percolates from the land surface through the unsaturated soil zone during groundwater recharge, the noble gas content of the water equilibrates with that of the air in the soil pore space. Exchange ceases once the water reaches the underlying aquifer. Thus, in principle, the noble gas spectrum of groundwater reflects the temperature in the unsaturated soil zone at the time and place of recharge (Herzberg and Mazer 1979; Stute and Schlosser 1993). In reality, measured noble gas concentrations are often higher than might be expected given perfect equilibration. This additional component, termed “excess air” is most likely caused by fluctuations of the water table, trapping small air bubbles that are then dissolved under increased hydrostatic pressure (Heaton and Vogel 1981). Some of this excess air may subsequently be lost by secondary gas exchange across the water table. The excess air contribution is unknown *a priori*. However, because excess air differentially affects the apparent temperature reconstructed from the different gases (the greatest effect is on the lightest, least soluble Ne, the smallest effect on Xe), true noble-gas temperature can be estimated by subtracting an excess air contribution that yields similar temperature estimates (within measurement errors) for all four gases (Stute and Schlosser 1993; Stute et al. 1995).

In confined aquifers, isolated from the atmosphere, the groundwater flows downstream to the discharge area carrying a temperature signal imprinted in the recharge area. Typical groundwater flow velocities in confined aquifers are of the order of 1 m y<sup>-1</sup>. As a result, a 50 km long aquifer may potentially provide a 50 000 y long record of temperatures in the recharge zone. However, small-scale mixing processes (dispersion) which occur during groundwater flow mean that this record is temporally smoothed. Typical dispersion coefficients are of the order of 10 to 100 y. Model calculations suggest that, for typical flow velocities and dispersion coefficients, the smoothing effect at the LGM is of the order of 2000 to 5000 y (Stute and Schlosser 1993).

Radiocarbon provides the only well-established method of dating groundwater. The geochemical evolution of the groundwater must be taken into account in order to derive reliable <sup>14</sup>C estimates

(Fontes and Garnier 1979). Comparison of correction models (e.g. Phillips et al. 1989) suggests that errors on the order of ± 2000 y should be assigned to <sup>14</sup>C ages on groundwater.

Thus, the “noble gas thermometer” can be used to provide quantitative estimates of changes in mean annual ground temperature in the recharge zone. Ground temperature can be equated with mean annual temperature (MAT), except in regions where seasonal snow cover drastically changes the thermal insulating properties of the surface, which is not a concern for any of the sites used here. Groundwater measurements cannot provide high resolution records, partly because of the smoothing of the climate signal caused by dispersion processes, and partly because of the dating errors, but the estimates are presumably reliable for the glacial-interglacial time scale. A caveat is that because of the inherent averaging, the record may also incorporate information from extreme events within the effective averaging period. Another possible uncertainty concerns the location of the recharge zone relative to the sampling site. However, any errors introduced by not knowing the exact location of the recharge zone are probably slight, for two reasons. First, the accuracy of noble-gas temperature estimates for the present day supports the reliability of estimates for the past. Second, any possible error due to incorrectly specifying the elevation of the recharge zone is strongly compensated for by the opposing effects of atmospheric pressure and temperature on the solubility of noble gases.

## 2.3 δ<sup>18</sup>O in speleothems

Speleothems (stalactites, stalagmites, dripstone) are secondary calcium carbonate deposits, precipitated in caves. Speleothem growth is favoured when there is adequate precipitation to produce seepage water and when the ground surface is vegetated so that this seepage water is carbonated, while growth is limited by extreme cold, extreme aridity and when the cave is flooded by water. The isotopic and mineralogical composition of speleothems is determined by the regional climatic and environmental conditions at the time of precipitation. Provided that the δ<sup>18</sup>O of the palaeoprecipitation can be measured, for example in old groundwater, the δ<sup>18</sup>O in the speleothem carbonate can be used to estimate the absolute cave temperature at the time of formation (Hendy 1971; Schwarcz 1986). Cave temperatures are generally stable, approximating to the mean annual ground temperature of the region. Speleothems can be precisely dated with the uranium-series method (Schwarcz 1986; Li et al. 1989).

The use of speleothems to reconstruct past climate changes is new and there are few sites from the tropics. Most of these speleothem records have been interpreted as providing estimates of *relative* changes in various climatic parameters, based for example on an assumed correlation of growth rates with climate (Shaw and Cooke 1986; Brook et al. 1997), or interpretation of isotope excursions either on the assumption that they reflect single climatic parameters (e.g. Fischer et al. 1996), or by deconvolution of the temperature/precipitation signals using information from other data (Holmgren et al. 1995; Bar-Matthews et al. 1997; Burney et al. 1997). Lack of independent information on the δ<sup>18</sup>O composition of fossil cave seepage waters has so far prevented the quantitative interpretation of tropical speleothem records except in the single case of Congo Cave in South Africa (Talma and Vogel 1992). We include this record in the present synthesis because it provides an independent check on the MAT reconstruction from South Africa based on the noble-gas thermometer.

## 2.4 Lakes

Water-level changes in lakes can occur on a variety of time scales, from intra-annual to geological. The concomitant changes in water depth affect many of the physical and biological parameters of the

lake, allowing changes in water level to be inferred from the sedimentary or biostratigraphic records preserved in the deposits in or at the margins of lakes (Street-Perrott and Harrison 1985; Harrison and Digerfeldt 1993). Except under exceptional circumstances, when the seasonal changes in the biota or sediments are preserved in the form of annual laminations, lake deposits generally only provide records of the sustained, longer-term ( $10^2$ – $10^4$  y) changes in water depth. Such records have been obtained from most regions of the world (e.g. see Street-Perrott et al. 1989; Fang 1991; Yu and Harrison 1995; Tarasov et al. 1996; Jolly et al. 1998a). Changes in water level can be caused by local factors, e.g. damming of the outlet, geomorphic changes causing re-routing of river inflows, changes in catchment land-use, and tectonism (Dearing and Foster 1986). In general, these factors affect only individual lakes. Regionally synchronous changes can be assumed to reflect changes in the hydrological balance, driven by changes in climate.

Overflowing lakes approach an equilibrium area, such that the sum of runoff from the catchment and the (positive) water balance over the lake surface is balanced by discharge (Szeistay 1974; Street-Perrott and Harrison 1985; Mason et al. 1994). Discharge is a monotonic function of lake volume; the form of the function depends on the morphometry of the lake and its outlet (Henderson-Sellers 1984). A lake becomes closed (i.e. ceases to overflow) when the water balance over the lake surface is negative and can therefore alone balance the runoff from the catchment. Generally, lake area and depth vary monotonically with  $P-E$ , the (always positive) balance of precipitation and evaporation over the catchment (Cheddadi et al. 1996). Reconstructed changes in lake status (area, depth, or level) can therefore be used to infer the sign of changes in mean annual  $P-E$ .

Plant-available moisture (PAM) and  $P-E$  are estimated independently, and are not automatically related. In simple scenarios where annual precipitation changes while its seasonality and other factors do not change, both measures must change in the same direction. But there are other possibilities, especially in seasonal climates. For example, increased precipitation in the dry season can be balanced by increased evapotranspiration (promoted by vegetation growth); this would register as increased PAM and no change in  $P-E$ . Increased precipitation and decreased evapotranspiration in the already-wet season could conversely produce increased  $P-E$  without increasing PAM (Prentice et al. 1992a).

Although lakes usually provide only qualitative records of climate changes, several characteristics make them useful for reconstructing tropical climate changes at the LGM. The climatic controls on the lake water balance are well known (Street-Perrott and Harrison 1985; Cheddadi et al. 1996). Lake records are widely distributed and records of former lakes are common in arid areas. Lake bottom and lake marginal sediments represent a quasi-continuous record of long-term changes, and generally provide material suitable for  $^{14}\text{C}$  dating.

### 3 Methods

For each proxy data source, the published literature was surveyed and a listing was made of all of the sites between  $32^\circ\text{N}$  and  $33^\circ\text{S}$  providing either qualitative or quantitative palaeoclimatic information for the LGM. The LGM was defined by a  $^{14}\text{C}$ -age bracket of  $18 \pm 2$  ka. Site-specific information was obtained either from the published articles or from public-access data bases. Although the chronology of every site is based on radiometric methods, the quality of the dating varies between different parts of the record at a single site, between different sites and between different types of records. In addition to giving the total number of dates on which the chronology is based (where this is an appropriate measure of reliability), we have also provided an assessment of the quality of the LGM dating control (DC) at each site using the COHMAP scheme (Webb 1985) implemented according to Yu and Harrison (1995). The palaeoclimatic information for each site as given in the original articles is listed systematically. For completeness, Tables 1–4 list all

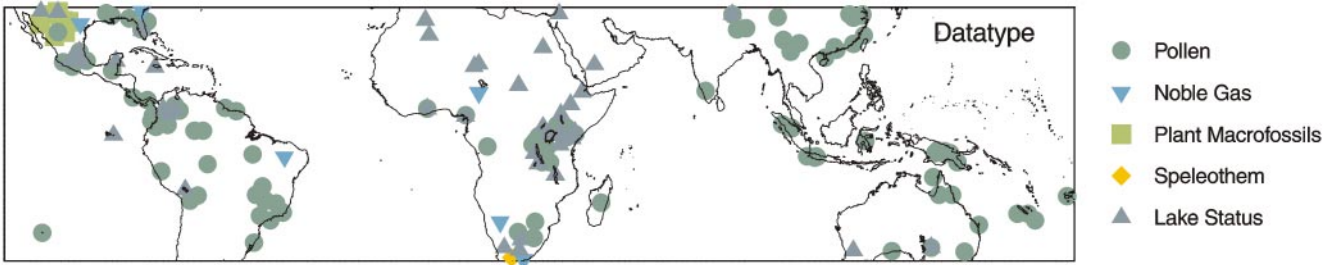
of the original estimates available at each site, including re-interpretations of the proxy data, re-analyses using improved techniques, and multiple studies.

Not all of the palaeoclimatic reconstructions in the published literature are reliable by today's standards. In some cases the underlying assumptions and methods cannot be identified. Some other reconstructions have been based on incorrect conceptual models, techniques now superseded, or wrong assumptions. We have therefore closely evaluated individual records, using explicit criteria to assess their reliability. Only sites which meet these explicit criteria are included in the mapped analyses presented later (Fig. 1). In some cases (e.g. the interpretation of changes in vegetation zone elevations) we have re-analyzed the original data in order to derive a set of mutually consistent palaeoclimatic estimates. The explicit evaluation criteria used for each data source are described below, as are the methods employed in any re-analyses. All of the estimates that we consider reliable, and use in subsequent analyses, are clearly identified in Tables 1–4. Thus, the data as presented in Tables 1–4 make clear wherever we are using an interpretation that deviates from the originally published one, and the underlying logic of our interpretation.

#### 3.1 Estimation of changes in MTCO from pollen and plant macrofossil records

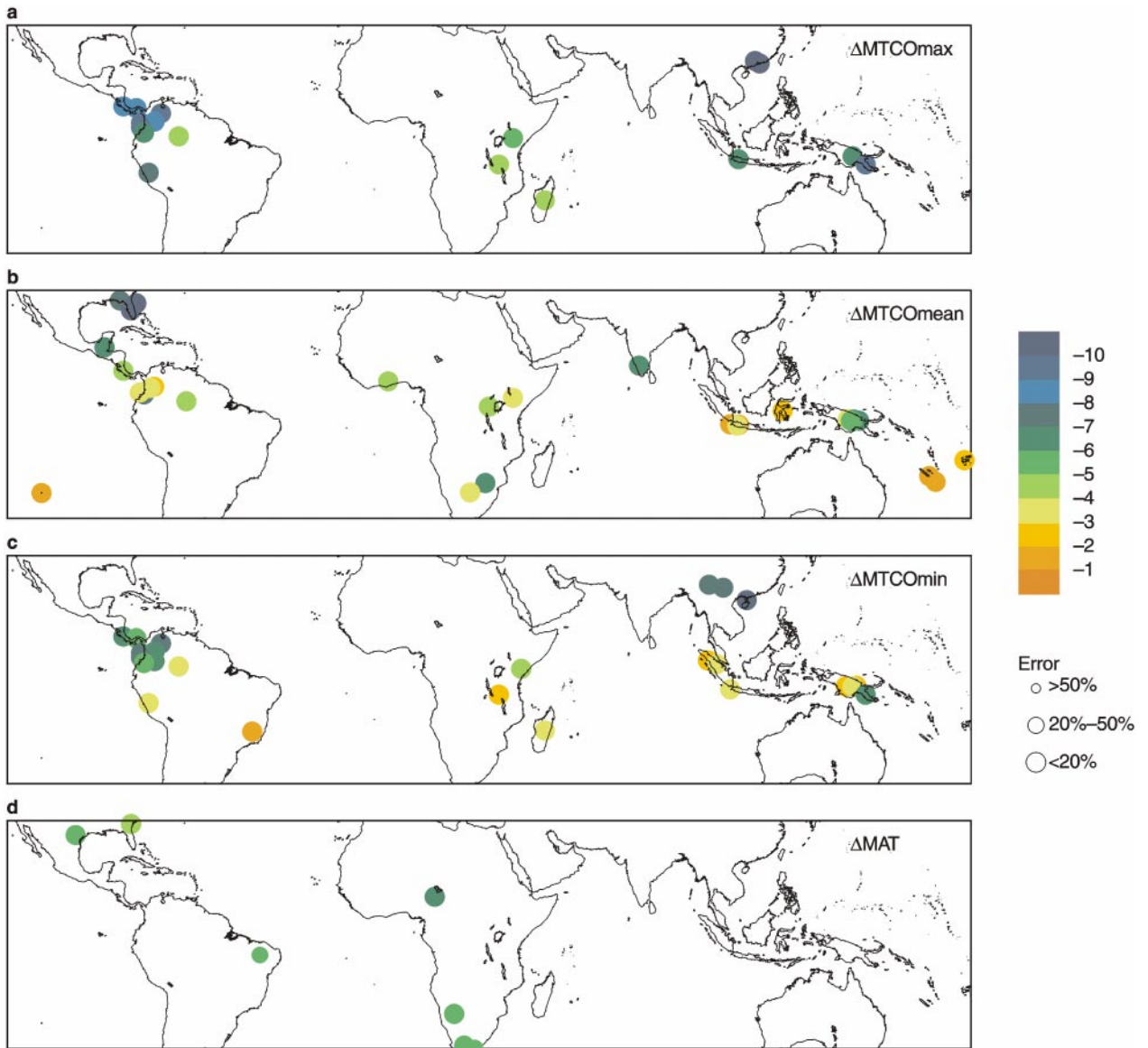
Most of the estimates of tropical temperature changes given in the literature (Table 1) have been obtained by estimating an elevation shift (of one or more climatically sensitive plant species, or of a vegetation zone) and multiplying by a lapse rate, corresponding to an estimate of the rate of decline of temperature with elevation. In some cases the elevation shift has been estimated with some precision, in which case we use a single value as a *point estimate*; in other cases we know only a minimum, a maximum, or a range. Uncertainty is also introduced by the choice of lapse rate. As the relevant temperature here is the temperature near the ground, the lapse rate that applies is the “terrestrial” or “slope” lapse rate. Although values similar to the free-air moist adiabatic lapse rate ( $\approx 5 \text{ K km}^{-1}$  at temperatures typical of the lowland tropics) are typically used for this purpose, terrestrial lapse rates can be significantly greater than or less than the moist adiabatic lapse rate. Terrestrial lapse rates can also show large seasonal variations (P.J. Bartlein, J. Guiot, unpublished results). Seasonally and locationally dependent terrestrial lapse rates can be estimated statistically by smooth three-dimensional interpolation of weather station data on monthly mean temperatures.

In the palaeoecological literature the lapse rate used is not always specified, and it is seldom possible to determine whether the value used was an appropriate local terrestrial lapse rate or a generic figure. Some differences between successive estimates (based on the same data), and some differences between author's estimates obtained from nearby sites, may reflect varying choices of values for the lapse rate. We have therefore re-estimated changes in MTCO wherever these were based on inferred change in the elevations of specific vegetation boundaries. In each case we applied a local (modern) terrestrial lapse rate, evaluated at the latitude, longitude and elevation of the site, using a data set of long-term monthly mean temperatures from 9176 meteorological stations worldwide (the data set is an updated version of the one used to generate the IIASA data set of Leemans and Cramer 1991). Of these stations, 3346 are located between  $32^\circ\text{N}$  and  $33^\circ\text{S}$ . The lapse rate is calculated as the derivative with respect to elevation of a non-linear function fitted to the monthly data in the space of latitude, longitude and elevation using an artificial neural network (Peyron et al. 1988). We distinguish between those sites where the estimated change in MTCO was calculated on the basis of a change in treeline and those for which MTCO was calculated on the basis of changes in forest zones, in order to be able to allow for a possible contribution of  $[\text{CO}_2]$  to treeline changes.



**Fig. 1** Distribution of sites, according to data type. This map does not show those sites at which the estimates derived from the literature (listed in Tables 1–4 for completeness) do not match our criteria for reliability and which were therefore not used in subsequent

analyses. Site locations have been shifted by up to a few degrees in data dense areas; however, the location of a given site is the same on each map on which it appears



**Fig. 2a–c** Anomalies (LGM minus present) of cold-month temperatures (MTCO) based on pollen data. Maximum and/or minimum estimates based on lapse rate calculations are shown at all sites

where the elevation shift is given in this form **d** Anomalies of mean annual temperature (MAT) based on noble gas and speleothem data

**Table 1** Sites providing estimates of temperature and moisture-balance changes, based on pollen or plant macrofossil data. Information given in the original publications is given as Authors Interpretation; data used in our analyses are identified as This work. The quoted latitude, longitude and elevation refers to the location of the studied core or midden site

Site	Country	Lat. (°)	Long. (°)	Elev. (m)	Data type	DC	No. <sup>14</sup> C dates	Sampling resolution	Interval (ka)	Δ Treeline elev.	Δ Veg zone elev.	Δ <i>T<sub>ann</sub></i> (°C)	Author's interpretation
<b>North-America</b>													
Twin Peaks	USA	31.97	-112.78	565	3	7	n/a	n/a	Late Wisconsin				Colder
Hueco Mountains	USA	31.90	-106.00	1340-1430	3	6D	2+	<1	42-12				No change
<i>Goshute Springs</i>	USA	31.72	-86.13	105	1	7	5	<1	20-6				Colder
Pinekeyville Creek (PC19)	USA	31.54	-91.47	79	1	2D	1	1	17.5				Colder
Pinekeyville Creek (PC20)	USA	31.54	-91.48	79	1	4D	1	1	18.8				Colder
Sierra Blanca area	USA	31.13	-105.00	1430	3	2D	n/a	n/a	18.5-11				Colder
Streerwitz Hills	USA	31.12	-105.20	1430	3	4D	n/a	n/a	18.0				Colder
Tunica Bayou (TB 23)	USA	30.96	-91.51	31	1	3D	1	1	18.7				Colder
Little Bayou Sara	USA	30.95	-91.47	49	1	7	n/a	n/a	Wisconsin				Colder
Bennett Ranch	USA	30.62	-104.98	1035	3	3D	n/a	n/a	19-16.5				Colder
Camel Lake	USA	30.27	-85.02	20	1	7	8	1	30-14				Colder
Maravillas Canyon	USA	29.55	-102.90	610	3	6D	2+	<1	29-11				Colder
Sheelar Lake (C and D)	USA	29.52	-82.00	51	1	2D	8	>1	23.8-18.5				Colder
Big Bend General	USA	29.40	-103.75	850-1200	3	4D	n/a	n/a	21-15				Colder
Burro Mesa	USA	29.27	-103.40	1200	3	4D	n/a	n/a	18.0				Colder
Rio Grande Village	USA	29.23	-105.25	610-760	3	1D	n/a	n/a	23-11				Colder
Lake Tulane	USA	27.59	-81.50	36	1	2C	16	>1	20-17				Colder
<b>Central &amp; South America</b>													
Cuatro Ciénegas (F)	Mexico	27.00	-104.00	740	1	1D	4	>1	Mid-Wisconsin				Colder
Cuatro Ciénegas area	Mexico	27.00	-104.00	n/a	1	1D	4	>1	Mid-Wisconsin		no change		Colder
Patzcuaro	Mexico	19.58	-101.58	2044	1	2D	7	1	44-11				Colder
Chalco (B)	Mexico	19.50	-99.00	2240	1	2	3	>1	19-14				Colder
Texcoco	Mexico	19.43	-99.01	2200	1	4D	2	>1	30-12				Colder
Jalapaquillo	Mexico	19.25	-103.50	2400	1	2C	3	>1	31-18				Colder
Laguna Quexil (80-1)	Guatemala	16.91	-89.81	110	1	7	4	>1	24-14		-1400		Colder
Vicente Lachner	Costa Rica	9.71	-83.93	2400	1	7	3	1	Wisconsin	-650			-6.5 to -8
La Chontá (2 & 4)	Costa Rica	9.68	-83.95	2310	1	7	2/1	1	50-13	-1500			-3.6
La Chontá (1)	Costa Rica	9.68	-83.95	2310	1	7	4	>1	18-14	-1000 to -1400			-8.0
El Valle	Panama	9.00	-80.00	500	1	2C	7	1	30-14	-700			-7 to -8
El Valle	Panama	9.00	-80.00	550	1	2C	7	1	30-14	-800 to -1650			-4.0
El Valle	Panama	9.00	-80.00	500	1	2C	7	1	30-14	-1100 to -1700			-5.0
<i>Lake La Yeguada</i>	Panama	8.45	-80.85	650	1	7	15	n/est	< 14.3	-900 to -1400			-5.0
Ogle Bridge	Guayana	6.83	-58.16	0	1	7	2	<1	LGM				-6 to -10
Laguna Ciega (III)	Colombia	6.50	-72.30	3500	1	4C	2	>1	21-14	-1200 to -1500			-6 to -10
Alliance Borehole	Surinam	5.75	-55.08	20	1	7	0	<1	Upper Pleniglacial				Colder
Laguna de Fuquene	Colombia	5.50	-73.77	2580	1	6C	2	1	20.5-13	-1200 to -1500			-7 to -8
El Abra II (B1)	Colombia	5.01	-73.95	2570	1	7	3	>1	Upper Pleniglacial				-7 to -8
Paramo de Agua Blanca (PAB1)	Colombia	5.00	-74.16	3250	1	7	3	1	20-14	-1000 min			-3 to -4
La Laguna	Colombia	4.91	-74.33	2900	1	1C	12	>1	19.5 to 17.3	-400			-3 to -4



Author's interpretation		Numerical estimates		This paper		References	
$\Delta P_{ann}$	$\Delta T_{ann}$ (°C)	$\Delta T_e$ (°C)	$\Delta P_{ann}$ (mm)	Veg. shift 0 ka : 18 ka	Local lapse rate °C/km	$\Delta$ MTCO (°C)	PAM
55–70% inc. Wetter Drier							+
Wetter Wetter							– +
Wetter		$-7.75 \pm 0.2$				$-7.75 \pm 0.2$	+
Wetter		$-13.5 \pm 0.2$				$-13.5 \pm 0.2$	+
Wetter		$-14.9 \pm 0.6$				$-14.9 \pm 0.6$	+
Wetter Drier Drier Drier Wetter Drier					4.9 6.1 6.1	$-6.9$ $-4$ $-6.1$ to $-8.6$	– – –
Drier					5.0	$-5.5$ to $-8.5$	–
Drier Drier Drier Drier Drier Drier					6.0 6.0 6.0 6.0 6.4	$-7.2$ to $-9.1$ $-7.2$ to $-9$ $-6.0$ min $-2.6$	– – – – –

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Table 1 (Continued)

Site	Country	Lat. (°)	Long. (°)	Elev. (m)	Data type	DC	No. <sup>14</sup> C dates	Sampling resolution	Interval (ka)	Δ Treeline elev.	Δ Veg zone elev.	ΔT <sub>min</sub> (°C)	Author's interpretation
La Laguna (2nd estimate)	Colombia	4.91	-74.33	2900	1	1C	12	>1	17.3-12.4	-900 to -1100	-1500 to -2000	-6 to -8	
Rosarito	Colombia	4.87	-75.25	3400	1	7	1	>1	18.0	-1400		-8.0	
Sabana de Bogota (CUX)	Colombia	4.83	-74.20	2560	1	7	4	1	21-14	-1200 to -1500		-6.0	
Parque de los Nevados (TNP21,37,40,51)	Colombia	4.50	-75.50	1800-2940	1	7	3	1	29-14	-500			
San Juan Bosco	Ecuador	3.05	-78.45	970	1	7	2	>1	26-33	-800		-7.5	
Lake Sruucuch'o (Llavicu)	Ecuador	3.05	-78.75	3180	1	7	5	>1	LGM			-7.5	
Mera	Ecuador	1.48	-77.50	1100	1	7	3	>1	26-33		-1300 to -1500	-7.5	
Lagoa Verde	Brazil	0.27	-64.41	250-300	1	7	5	>1	LGM	-1000		-5	
Lake Pata	Brazil	0.26	-66.68	300	1	1D/7	12	>1	LGM	-800 to -1000		-5 to -6	
Lago da Curuca	Brazil	-0.77	-47.85	35	1	7	7	<1	Late glacial			Colder	
Carajas (CSS2)	Brazil	-6.33	-50.41	700	1	7	8	n/est	22.8-12.5				
Kaitira	Brazil	-9.00	-63.00	80	1	2D	4	<1	22-13			-4 ± 2	
Laguna Junin (A)	Peru	-11.00	-76.00	4100	1	1C	8	1	24-12	-600 to -1500		Colder	
Laguna Junin (A)	Peru	-11.00	-76.00	4100	1	1C	8	>1	24-16.5			-7.0	
Aguas Emendadas	Brazil	-15.00	-47.58	1300	1	7	6	n/est	21.4-7.2				
Lago Titicaca	Bolivia	-16.00	-69.00	3810	1	1C	4	>1	19-17.5			-5 to -7	
Crominia	Brazil	-17.28	-49.41	750	1	7	5	1	18.5-11.3			Colder	
Wasa Mayu	Bolivia	-17.53	-65.82	2720	1	7	1	<1	LGM			-6 to -7	
Cala Conto	Bolivia	-17.57	-65.93	2700	1	7	3	1	LGM			-6 to -7	
Laguna Campesre de Salitre (LCS)	Brazil	-19.00	-46.77	980	1	5D	14	n/est	28.7-16.8				
Lago Serra Negra	Brazil	-19.00	-46.95	1170	1	7	6	n/est	31.7-14.3			-6	
Lagoa dos Olhos	Brazil	-19.63	-43.90	730	1	2C	2	1	19.5-13.6			-5 to -7	
Catás Atlas	Brazil	-20.08	-43.37	755	1	7	7	<1	LGM			-5 to -7	
Morro de Itapeva	Brazil	-22.78	-45.53	1850	1	2D/7	5	<1	35-17			-5 to -7	
Botucatu	Brazil	-22.80	-48.38	770	1	5D	5	<1	30-18			-5 to -7	
Rano Raraku (RRA3)	Easter Island	-27.15	-109.43	75	1	6C	5	1	21-12			Colder	
Rano Aroi (ARO1)	Easter Island	-27.15	-109.43	425	1	7	6	1	26-10			Colder	
Rano Raraku & Rano Aroi (RRA3 & ARO1)	Easter Island	-27.15	-109.43	n/a	1	4D	17	1	26-12		-350	-1.8 or -2.8 min	
Terra de Areia	Brazil	-29.55	-50.05	5	1	7	2	<1	Late Glacial/LGM			Colder	
<b>Africa</b>													
Bosumtwi (B7)	Ghana	6.50	-1.41	100	1	2C	15	1	28-9		-600	-3 to -4	
Barombi-Mbo (BM6)	Cameroon	4.65	9.40	300	1	2C	15	>1	20-14			Colder	
Laboot Swamp	Kenya	1.12	34.58	2880	1	5C	4	>1	23-14			Colder	
Kaisungor Swamp (B)	Kenya	0.88	35.47	2900	1	4D	2	1	27.7-17			Colder	
Sacred Lake	Kenya	0.03	37.47	2400	1	7	4	>1	21.8-17	-1000 to -1100		-5.1 to -8.8	
Sacred Lake-82	Kenya	0.03	37.47	2400	1	7	4	1	33/14-11	-700		-4.4	
Lake Rutundu (B)	Kenya	-0.17	37.37	3140	1	7	1	1	18.0	-1000 to -1100		-5.1 to -8.8	
Lake Naivasha	Kenya	-0.75	36.33	1890	1	4C	5	>1	20.2-18			Colder	
Ahakagezi (AH2)	Uganda	-1.11	29.90	1830	1	2C	12	1	25.6-10.6			-4.0	
Muchoya Swamp	Uganda	-1.28	29.80	2260	1	7	3	>1	24-17			Colder	
Muchoya Swamp (MC2)	Uganda	-1.28	29.80	2260	1	1D	18	1	21-14	-1000		Colder	
Kamiranzovu Swamp	Rwanda	-2.46	29.00	1950	1	4C	10	1	20-15.8			Colder	

Author's interpretation		Numerical estimates			This paper		References	
$\Delta P_{ann}$		$\Delta T_{ann}$ (°C)	$\Delta T_t$ (°C)	$\Delta P_{ann}$ (mm)	Veg. shift 0 ka: 18 ka	Local lapse rate °C/km	$\Delta$ MTCO (°C)	PAM
Drier						6.4	-5.6 to -7	-
Wetter						5.1	-7.2	+
Drier						5.3	-6.4 to -8	+
						6.4	-3.2	-
Drier						4.9	-7.3 to -9.8	-
						4.9	-3.9	-
						4.9	-5.6 to -6.5	-
						4.3	-4.3	-
						4.3	-3.5 to -4.3	-
Drier	-25 to -40%							-
	+25 to 50 cm					5.1	-3.0 to -7.6	+
Drier								-
+50								+
+50								+
Drier								+
Drier					tdis: wamx		-1.8 min	-
Drier								-
Drier					tdis: step			-
Drier								-
Drier						4.3	-1.6	-
Drier								-
Drier								-
Drier						6.5	-4	-
Drier								-
Drier								-
Drier								-
Drier						4.9	-3.3	-
Drier						4.9	-4.7 to -5.1	-
Drier								-
Drier						4.6	-4.6	-
Drier								-

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Table 1 (Continued)

Site	Country	Lat. (°)	Long. (°)	Elev. (m)	Data type	DC	No. <sup>14</sup> C dates	Sampling resolution	Interval (ka)	$\Delta$ Treeline elev.	$\Delta$ Veg zone elev.	$\Delta T_{ann}$ (°C)	$\Delta T_c$ (°C)	Author's interpretation
<i>Rusaka</i>	Burundi	-3.43	29.62	2070	I	4C	15	n/est	21-12					
Kashiru	Burundi	-3.48	29.57	2240	I	2C	13	>1	29-13.2	-1400 to -1900		-2.5 to -3		
Kashiru-88	Burundi	-3.48	29.57	2240	I	2C	15	>1	30-13	-1000		-5 to -6		
Kashiru-90	Burundi	-3.48	29.57	2240	I	2C	18	>1	25-15	-1000 to -1500				
Kashiru-92	Burundi	-3.48	29.57	2240	I	2C	18	>1	25-18					
North Tanganyika (SD24)	Burundi	-4.50	29.33	773	I	5D	3	1	32-15			-4 min		
Ngamakala	Congo	-4.07	15.38	400	I	4C	7	>1	24-13					
Kalambo Falls	Tanzania	-8.50	31.25	1200	I	7	7	<1	27-9.5		-600 to -900	-3 to -5		
South Tanganyika (MPU12)	Zambia/Tanzania	-8.50	30.75	773	I	ID	6	>1	25-15			Colder		
South Tanganyika (MPU11)	Zambia/Tanzania	-8.50	30.75	773	I	7	1	>1	23-15			-2 to -8		
South Tanganyika (MPU3+MPU11+MPU12)	Zambia/Tanzania	-8.50	30.75	773	I	ID	6	>1	25-15					
Ishiba Ngandu	Zambia	-11.25	31.75	1400	I	7	1	>1	22-3		No change			
Vinainony	Madagascar	-18.73	47.61	1875	I	7	2	<1	44-9.5			Colder		
Torotorofotsy	Madagascar	-19.36	47.22	956	I	7	2	<1	63-9.5			Colder		
Tritrivakely	Madagascar	-19.78	46.92	1778	I	2C	12	1	19-4			Colder		
Wonderkrater (4 boreholes)	South Africa	-24.43	28.75	1100	I	2C	5	1	25-11			-5 to -6		
Wonderkrater (4 boreholes)	South Africa	-24.43	28.75	1100	I	2C	5	1	19-18	-1000		colder		
Equus Cave	South Africa	-27.00	24.00	1500	I	7	0	n/est	LGM			-3 to -4		
Elim	South Africa	-28.48	28.41	1890	I	7	4	>1	18-17			Colder		
Cornelia	South Africa	-28.50	28.41	1800	I	4C	2	1	20-12.6					
Parkhuis Pass (midden 864)	South Africa	-32.00	19.00	450	2	1C	2	1	19-16					
<b>China</b>														
Suzhou (59)	China	31.30	120.60	2	1	7	2	1	18-16			-7.5		-8 to -12
Wuhu (ZK13)	China	31.30	118.30	9.6	1	7	2	>1	18-16			-7 (-9 max)		
Chabyer (ZK91-2)	China	31.30	84.10	4421	1	7	3	>1	18.0			-6.0		
Ducun (827)	China	31.00	120.40	3	1	5C	4	1	18-16			-7 to -10		
Rencuo	China	30.71	96.70	4450	1	ID/C	7	>1	20-18			Colder		
Siheshan (ZK14)	China	30.50	118.00	6.9	1	5C	2	>1	18-16			Colder		
Daganba	China	26.50	105.70	1335	1	7	1	<1	23-18			-6.0		-7 to -10
Fuzhou (ZH-9)	China	26.10	119.30	85	1	6C	4	>1	18.0			Colder		
Xiangqian (ZK 01243)	China	25.90	119.30	90	1	7	1	n/est	20-16			Colder		
Erhai (Z18)	China	25.83	100.16	1984	1	7	7	>1	18.0			Colder		
Bihengyin	China	25.80	105.40	1250	1	7	3	1	22-18			Colder		
Xiaguang (Z27)	China	25.20	100.26	1700	1	7	3	n/est	18.0			Colder		
Tengchong (K1, K2, K3, K5)	China	25.00	98.50	1600	1	6C	3	1	20-15			Colder		
Qilu Lake (JSP)	China	24.17	102.75	1797	1	7	0	1	20-18			Colder		
Sanshui (K5)	China	23.10	112.80	40	1	7	2	>1	18.0			-7.0		
Henglan (PK19)	China	22.50	113.20	30	1	7	3	<1	20-15			-7.0		
Mangxing (MIN)	China	22.10	100.47	1200	1	4C	7	<1	24-18			Colder		
Mangyang (N)	China	22.00	100.50	1200	1	7	3	>1	20-18			-1.5 to -3		
Nonggong Lake (TH3)	China	22.00	100.00	1170	1	3C	19	>1	18.0			0		
Tianyang	China	20.50	110.10	120	1	3C	6	>1	18-15			Colder		-11 to -15

Author's interpretation			This paper			References		
$\Delta P_{ann}$	Numerical estimates		Veg. shift 0 ka: 18 ka	Local lapse rate $^{\circ}\text{C}/\text{km}$	$\Delta$ MTCO ( $^{\circ}\text{C}$ )	PAM	References	
	$\Delta T_{ann}$ ( $^{\circ}\text{C}$ )	$\Delta P_{ann}$ (mm)						
Drier							<i>Bonnefille et al. (1995)</i>	
Drier	$-4 \pm 2$						<i>Bonnefille (1987)</i>	
Drier	$-3 \pm 1.9$	$-30\%$			$-3 \pm 1.9$		<i>Bonnefille et Rioulet (1988)</i>	
Drier							<i>Bonnefille et al. (1990)</i>	
Drier							<i>Bonnefille et al. (1992)</i>	
Drier							<i>Vincens (1993)</i>	
Drier				4.5	$-2.7$ to $-4.1$		<i>Elerka et al. (1994)</i>	
Drier	$-4.2 \pm 3.6$	$-180 \pm 183$					<i>Clark and Van Zinderen Bakker (1964)</i>	
Drier	$-5.8 \pm 2.9$	$-229 \pm 165$					<i>Vincens (1989, 1991a, 1991b); Vincens et al. (1993)</i>	
Drier	$-4.5 \pm 3.1$	$-250/-200 + 150$			$-4.5 \pm 3.1$		<i>Vincens (1991a); Vincens et al. (1993)</i>	
Drier							<i>Chalie (1995)</i>	
							<i>Livingstone (1971)</i>	
							<i>Straka (1996)</i>	
				4.3	$-3.4$ to $-4.3$		<i>Straka (1996)</i>	
							<i>Gasse et al. (1994)</i>	
				6.5	$-6.5$		<i>Scott (1982)</i>	
							<i>Scott and Thackeray (1987)</i>	
							<i>Scott (1987, 1989b)</i>	
							<i>Scott (1989a, 1989b)</i>	
							<i>Scott (1989a)</i>	
							<i>Scott (1994)</i>	
$-500$ mm			wamx:steppe				<i>Cao et al. (1993)</i>	
$-500$ mm			tede:steppe				<i>Xu et al. (1987)</i>	
Drier			tundra:desert				<i>Wu and Xiao (1996)</i>	
			wamx:tede		Colder		<i>Cao et al. (1993)</i>	
			steppe:desert				<i>Tang and Shen (1996)</i>	
			wamx:tede		Colder		<i>Xu et al. (1987)</i>	
							<i>Han and Yu (1988)</i>	
			wamx:steppe				<i>Lan et al. (1986)</i>	
			wamx:steppe				<i>Lan et al. (1986)</i>	
							<i>Zhu (1989)</i>	
							<i>Wu et al. (1995)</i>	
							<i>Zhu (1989)</i>	
			wamx:comi		$-7$ min		<i>Qin et al. (1992)</i>	
			wamx:coco		$-7$ min		<i>Song (1994)</i>	
			trse:coco		$-10$ max		<i>Huang (1982)</i>	
			trse:Wamx		$-10$ max		<i>Huang (1982)</i>	
			wamx:comi		Colder		<i>Tang (1992)</i>	
			wamx:comi		Colder		<i>Tang (1992)</i>	
500 mm							<i>Liu and Tang (1987)</i>	
Wetter			trse:tede		$-10$ min		<i>Chen et al. (1990)</i>	

(Continued on next page)

Table 1 (Continued)

Site	Country	Lat. (°)	Long. (°)	Elev. (m)	Data type	DC	No. <sup>14</sup> C dates	Sampling resolution	Interval (ka)	Δ Treeline elev.	Δ Veg. zone elev.	Δ T <sub>ann</sub> (°C)	Author's interpretation
Jih-Yueh Tan	Taiwan	23.88	120.93	745	1	7	3	1	> 47–12				Colder
<b>Indo-Pacific Area</b>													
Kathmandu Valley	Nepal	28.00	85.00	1300	1	7	n/a	<1	19–11				Colder
Sankhu	India	27.68	85.40	1500	1	5D	2	>1	19–17				–6 to –8
Colgrain	India	11.43	76.63	2330	1	5C	4	<1	30–15				–5 to –2
Sandymallah	India	11.43	76.63	2200	1	7	7	<1	24–14	–800			–5.0
Peasim sim	Sumatra	2.17	98.08	1450	1	1D	13	>1	18–16		–250 min		–3.0
Danau Di Atas	Sumatra	1.05	100.77	1535	1	4C	10	>1	22–17		–400 min		3 min
Lake Hordorli	Irian Jaya	–2.53	140.55	780	1	6D	6	1	24–14				–3 to –2
Wanda	Indonesia-Sulawesi	–2.55	121.38	440	1	7	4	>1	24–14		–400		–3 to –2
Telefomin	PNG	–5.18	141.63	1280	1	7	4	>1	18–14		–550		–4 to –5
Sirunki	PNG	–5.40	143.42	2550	1	2C	9	>1	23–15	–1200			–5.5 to –2
Draepi	PNG	–5.65	114.18	1585	1	3D	6	1	28–<19		–400 min		–2.5 min
Tugupugua	PNG	–5.70	142.62	2350	1	2C	11	1	18–14.5		–1000		–5.3 to –7.8
Komamambuno	PNG	–5.8	145.07	2740	1	2C	4	<1	22–15	–1200			–5 to –8
Kuk	PNG	–5.82	144.31	1550	1	7	3	1	28–20		–400 min		–2.5 min
Ambra	PNG	–5.85	144.30	1540	1	7	3	>1	20–15		–300 min		–2 min
Haepugua (6)	PNG	–5.85	142.95	1650	1	1C	11	>1	18–14.5		–1000		–3.4 to –6
Aluapugua	PNG	–5.97	143.15	2750	1	1C	3	>1	18–16		–600 to –1100		–5.8 to –7.3
Bandung (DPDR-I)	Indonesia/Java	–6.84	107.60	660.5	1	2C	3	>1	19–17		–350		–3 to –2
Bandung (DPDR-II)	Indonesia/Java	–6.98	107.73	662	1	1C	2	1	18.5–17.5		–350		–3 to –2
Lembang Swamp	Indonesia/Java	–6.73	107.62	1200	1	2C	7	>1	25–19.6		–600 to –1200		–3.6 to –7.2
Situ Bayongbong	Indonesia/Java	–7.18	107.28	1300	1	6C	6	1	>16		–600		–4 min
Kosipe	PNG	–8.47	147.20	1960	1	6C	4	<1	25–14	–1100 to –1600			–6 to –5
Lake Carpentaria	Australia	–12.52	140.35	–40	1	4C	8	1	30–14				–3 ± 2
Lynch's Crater (A)	Australia	–17.37	145.70	760	1	4D	10	<1	22–16				–2 to –4
Strenokoff's Crater	Australia	–17.33	145.55	740	1	3C	3	>1	26–14				–4 to –3
Wainisavulevu	Fiji	–17.68	178.00	780	1	5C	1	1	23–18		–400		–3.0
Lac Suprin	New Caledonia	–22.30	166.98	230	1	4D	4	1	25–17		–200		–3 to –1
Lac Xere Wapo	New Caledonia	–22.30	166.97	235	1	7	0	<1	25–15				
Plum	New Caledonia	–22.27	166.62	40	1	1C	6	<1	22–12				
Old Lake Coomboo Depression	Australia	–25.20	153.15	86	1	7	4	<1	36–15				Colder
Hidden Lake	Australia	–25.23	153.17	100	1	4D	7	>1	24–12				
Lake Frome	Australia	–30.78	139.9	2	1	4D	6	>1	18–14.5				Colder
Sinkhole	Australia	–31.67	128.85	20	1	6C	2	<1	22–17				
Ulungra Springs	Australia	–31.73	149.1	380	1	6C	3	>1	25–10.5				

Author's interpretation		Numerical estimates		This paper		References	
$\Delta P_{ann}$	$\Delta T_{ann}$ (°C)	$\Delta T_c$ (°C)	$\Delta P_{ann}$ (mm)	Veg. shift 0 ka : 18 ka	Local lapse rate °C/km	$\Delta$ MTCO (°C)	PAM
Drier							—
Drier					4.7	— 6.8	—
Drier ?					8.0	— 2 min	—
					8.0	— 3.4 min	
—30%					7.0	— 2.8	
					6.7	— 3.7	
					5.6	— 6.7	
Drier ?					6.5	— 2.8 min	
					5.9	— 5.9	
					5.7	— 6.9	
					6.5	— 2.8 min	
Drier					6.5	— 2.0 min	—
					5.7	— 5.7	
Wetter					5.6	— 3.8 to — 6.2	+
—33%					5.0	— 1.7	—
					5.0	— 1.7	—
					5.2	— 3 to — 6.6	—
					5.0	— 3	—
Wetter					6.0	— 6.3 to — 9.2	+
Drier							—
—70%							—
—60%							—
Wetter					6.2	— 2.5	+
—40% ?					7.5	— 1.5	—
—30%					7.5	— 1.5	—
—20.4							—
Drier							—
Drier							—
Drier							—
—20%							—
—50%							—

Data types: 1, pollen data; 2, pollen from *Hyrax* middens; 3, plant macrofossils from *Neotoma* middens. The number of radiocarbon dates used to construct a site chronology for the vegetation history; in general this is the number of reliable dates from the core that was used for pollen analysis; this may differ from the total number of radiocarbon dates from the site. For peatrat middens, the dating is made directly on the analyzed sample and the total number of radiocarbon dates from the site is thus irrelevant. Dating control (DC) follows the COHMAP scheme (Webb 1985; Yu and Harrison 1995). For sites with discontinuous sedimentation (indicated by a D after the number code), 1 indicates a date within 250 y, 2 a date within 500 y, 3 a date within 750 y, 4 a date within 1000 y, 5 a date within 1500 y, 6 a date more than 2000 y from the selected interval. For continuous records (indicated by a C after the number code), the dating control is based on bracketing dates where 1 indicates that both dates are within 2000 y of the selected interval, 2 indicates that one date is within 2000 y and the other within 4000 y, 3 indicates that both dates are within 4000 y, 4 indicates that one date is within 4000 y and the other within 6000 y, 5 indicates that both dates are within 6000 y and the other within 8000 y, 6 indicates that one date is within 8000 y and the other within 16000 y, 7 indicates that both dates are within 8000 y from the selected interval but the bracketing date is remote, the application of the continuous scheme can inappropriately downgrade the dating control; in such cases the discontinuous scheme is used. The sampling resolution indicates the number of pollen spectra per thousand years. A suggested or definite hiatus in sedimentation spanning the LGM time window is indicated by italics. Changes in the elevation of vegetation belts are given as point estimates, ranges, or minimum or maximum values; e.g. "— 6 min" means a cooling by six degrees or more. Vegetation codes: tse, tropical seasonal forest; tdfs, tropical dry forest/savanna; wamx, broad-leaved evergreen/warm mixed forest; comi, cool mixed forest; and coco, cool conifer forest (classification following Prentice et al. 1992)

Published estimates of temperature changes from lowland or plateau regions are more commonly based on a modern-analog approach. The simplest of these approaches, which has been used for several sites from China, subjectively assigns a fossil pollen/macrofossil assemblage to a modern vegetation type and then assigns the climatic limits of the modern-day vegetation type to the fossil site. This approach makes two implicit assumptions: (a) that vegetation types are discrete and unchanging entities, and (b) that the limits of specific vegetation types are not controlled by specific aspects of the seasonal climate. As both of these assumptions are invalid, we do not consider temperature estimates based on this type of approach to be reliable. Instead, we have made an independent estimate of the temperature change between the LGM and the present day, based on the published descriptions of the changes in the pollen assemblages at each site. We first determined which plant functional types were represented in the fossil and modern samples, using the allocations of pollen taxa to plant functional types e.g. as given in Yu et al. (1998). We then estimated the change in temperature by comparing the minimum MTCO limits required by or the maximum MTCO limits tolerated by specific plant functional types on physiological grounds, as given in Prentice et al. (1992b). This method does not directly provide estimates of the change in MTCO, but rather provides bounding limits for such a change. These estimates, and the vegetation changes on which they were based, are listed in Table 1.

More advanced modern-analog approaches are based on optimising the climatic information that can be derived from the climate limits of individual species and/or plant functional types within a fossil pollen/macrofossil assemblage. Such methods require an extensive training set of modern samples and employ explicit numerical techniques, and thus make it possible (through an examination of uncertainties) to determine whether the reconstructed climatic variables are well constrained. In general, well-constrained reconstructions indicate that the reconstructed climatic variable controls the distribution of plants through some physical/physiological mechanism. We have retained those estimates made using explicit numerical methods (response surfaces in the case of the sites from eastern North America, direct search for analogs in the case of sites from Africa) which make use of the independent evidence offered by individual taxa in the pollen assemblage. In cases where multiple estimates have been made using statistical methods, we have retained the estimate based on the larger/largest modern training set. When using temperature reconstructions based on explicit statistical methods from the zone between 10°N and 10°S, we have assumed that the reconstructed changes in MAT also apply to MTCO.

Estimates of MTCO anomalies are presented in three parallel maps (Fig. 2a–c). Point estimates (either from elevation shifts or from statistical methods) are given on one map. In order that the reliability of each estimate can be gauged visually, we have used the quoted errors ( $\pm 2\sigma$ ) from the statistical methods to scale dot size in this map; the larger dots indicate the more reliable estimates. Where point estimates have been derived from elevation shifts we have applied a conventional error bar of  $\pm <20\%$ . In many cases, however, elevation shifts are given as a minimum value, a maximum value, or a range of values. We have plotted the corresponding minimum and maximum cooling estimates on two separate maps.

### 3.2 Estimation of changes in MAT from noble gas and speleothem records

There are six noble gas records from old groundwaters within the study region (Fig. 1, Table 2); noble gas records obtained from three other tropical sites either pre- or post-date the LGM (Rudolf et al. 1984; Fontes et al. 1991; Andrews et al. 1994). These estimates of MAT have all been made with a consistent methodology, and can be regarded as reliable. Measurement errors are quoted as  $\pm 2\sigma$ . The

**Table 2** Sites providing estimates of changes in mean annual temperature, based on noble gases in groundwater

Site	Country	Latitude (°)	Longitude (°)	Elevation (m)	Data type	Interval (ka)	Number of 14C dates	DC	$\Delta$ MAT (°C)	+/- (°C) 2 $\sigma$	References
Floridan Aquifer	USA	32.00	-81.50	80	5	23–10	5	7	-4.0	0.5	Clark et al. (1997)
Carrizo Aquifer	USA	29.00	-98.75	180	5	21–11	3	6D	-5.0	0.7	Stute et al. (1992) Stute and Clark (unpublished data)
Chad Basin	Nigeria	11.00	13.00	330	5	24–15	7	6D	-6.4	2.1	Edmunds et al. (1997)
Serra Grande Aquifer	Brazil	-7.00	-41.50	400	5	33–15	4	6D	-5.4	1.1	Stute et al. (1995)
Stampriet Artesian Basin	Namibia	-24.50	19.00	1200	5	23–15	5	6D	-5.3	0.5	Stute and Talma (1997)
Uitenhage Aquifer	South Africa	-33.75	25.50	200	6	28–15	10	6D	-5.5	1.6	Heaton et al. (1986)

The latitude, longitude and elevation refers to the location of the sampling site. Data type is indicated by a numerical code, where 5 means the standard noble gas estimate, and 6 means an estimate based on N<sub>2</sub>/Ar. The number of radiocarbon dates indicates those used to date the groundwater. Dating control (DC) is as described in the caption to Table 1



measurement errors are about  $\pm 0.5$  to 1 K for most sites, but some (most notably the Chad Basin and Uitenhage aquifer) have quoted errors on the order of  $\pm 2$  K. The central estimates of MAT anomalies are plotted on a single map (Fig. 2d) with dot sizes scaled by the error bars in the same way as for the point estimates of MTCO.

We have excluded all but one of the speleothem records of MAT (Table 3) because, in the absence of independent information on the  $\delta^{18}\text{O}$  composition of fossil cave seepage waters, they only provide qualitative estimates of changes in temperature. The speleothem record is temporally more highly resolved than the noble gas record from groundwater. We have therefore estimated the LGM temperature difference after applying a 1000-y moving average (comparable to the minimum interval over which the groundwater record is likely to be smoothed). We have computed a likely estimation error ( $\pm 0.03$  K) on this value by recalculating the temperature change using a 5000-y moving average.

### 3.3 Analysis of the relation between temperature anomalies and elevation

We have analysed the estimates (a) of vegetation elevation shifts and (b) of change in MTCO (for sites that span a wide range of elevations) using ordinary least-squares linear regression, with site elevation as the predictor variable. As shown in the Appendix, MTCO changes are appropriately estimated from elevation shifts by using *modern* lapse rates, even if the lapse rates were different in the past. If elevation shifts are regressed against site elevation, the resulting intercept estimates the climatically induced elevation shift at modern sea level while the slope estimates the relative change in terrestrial lapse rate, if such a change occurred. If the MTCO estimates are regressed against site elevation, the intercept estimates the change in MTCO at modern sea level, and the slope estimates an absolute change in terrestrial lapse rate (see Appendix). We have calculated such regressions in two ways. In the main analyses we used only those sites for which point estimates of elevation shifts or temperature changes are available; this approach is likely *a priori* to give the most reliable results and proved in practice to fit the data best. As a check on the robustness and generality of the results we also performed regressions based on all estimates, including maximum and minimum estimates as independent data points.

Relationships between temperature anomalies and site elevation can be calculated from the entire data set to obtain approximate overall average estimates, or for subregions to investigate regional variations. We used such regional analyses to reduce the estimated MTCO and MAT changes to modern sea level taking into account inferred regional changes in lapse rate between LGM and present, as estimated by the regional regression slopes. This procedure reduces the data to a form best suited for comparison with the magnitudes and spatial patterns of changes in sea-surface temperatures (SSTs), as estimated from proxy data in marine sediments.

### 3.4 Estimation of changes in PAM from pollen and plant macrofossil records

The estimates of changes in moisture regimes at the LGM (Table 1) are based on observed present-day moisture requirements of individual plants in the fossil assemblage. Although most of the estimates of changes in moisture-balance parameters in the literature are referred to as changes in precipitation, they are better characterised as PAM. Given the difficulty in dissociating the potential effect of temperature and lowered  $[\text{CO}_2]$  from changes in precipitation, we assume that quantitative estimates of changes in PAM (or precipitation) are unreliable. It seems plausible to assume that the sign of the change in PAM is robust, however, and we include it here for comparison with the *P-E* reconstructions.

**Table 3** Sites providing estimates of changes in mean annual temperature, based on speleothem data

Site	Country	Latitude (°)	Longitude (°)	Elevation (m)	Data type	Interval (ka)	Number of U/Th dates	DC	Author's interpretation		This work		References
									$\Delta$ Temperature	$\Delta$ Precipitation	$\Delta$ MAT (°C)	Est. Error (°C)	
Soreq Cave	Israel	32.45	35.00	400	8	25–17	6	1D	Colder	60–90%			Bar-Matthews et al. (1997)
Anjohibe Cave	Madagascar	–17.00	46.00	100	9	22.88 $\pm$ 4.48	1	3D	Colder	Wetter			Burney et al. (1997)
Forbes Secondary	Australia	–18.00	139.00	200	8	18	1	n/a		Wetter			Fischer et al. (1996)
Discovery Cave	Botswana	–20.03	21.36	1000	7	22.8 – 14.5	9	1D		Wetter			Shaw and Cook (1986)
Drotsky's Cave	Botswana	–25.11	25.40	1200	7.8	27.2 – 21.6	17	1D	Colder than before	Drier than before			Brook et al. (1997)
Lobatse Cave	South Africa	–33.23	22.13	200	8	20–17.8	2	1D	–5 to –7	–5.5	0.3		Holmgren et al. (1995)
Cango Cave	South Africa	n/a	n/a	n/a	7	21–19	> 100	n/a		Drier			Talma and Vogel (1992)
Group of unnamed caves, southern and eastern Africa													Brook et al. (1977)

Information given in the original publications is given as Author's interpretation; data used in our analyses are identified as This work. Data type is indicated by a numerical code, where 7 is speleothem growth rates, 8 is stable isotope measurements on speleothems, and 9 is pollen from speleothems. The number of U/Th dates indicates those used to construct a chronology for the speleothem record. Dating control (DC) is as described in the caption to Table 1

**Table 4** Sites providing estimates of changes in mean annual  $P-E$ , based on lake status data

Site	Country	Latitude (°)	Longitude (°)	Elevation (m)	Number of $^{14}\text{C}$ dates	DC	$\Delta P-E$	References
<b>The Americas</b>								
Cochise	USA	32.13	-109.85	1260	35	1D	1	Street-Perrott et al. (1989)
Salt Basin	USA	31.83	-105.00	1087	5	1C	1	Wilkins and Currey (1997)
Annie	USA	27.30	-81.40	36	9	7	-1	Harrison (1988)
Patzcuaro	Mexico	19.58	-101.58	2044	9	2D	-1	Street-Perrott et al. (1989)
Chichancanab	Mexico	19.50	-88.75	38	4	7	0	Street-Perrott et al. (1989)
Mexico	Mexico	19.50	-99.00	2240	26	7	0	Street-Perrott et al. (1989)
Texcoco	Mexico	19.45	-99.00	2022	4	2C	1	Bradbury (1989)
Wallywash	Jamaica	17.95	-77.80	7	11	6D	-1	Street-Perrott et al. (1989)
Ciega	Colombia	6.50	-72.30	4000	3	3C	-1	Street-Perrott et al. (1989)
Fuquene	Colombia	5.50	-73.75	2580	3	6C	-1	Street-Perrott et al. (1989)
El Abra	Colombia	5.00	-74.00	2570	8	6C	0	Street-Perrott et al. (1989)
El Junco	Ecuador	-0.87	-89.45	650	8	7	-1	Street-Perrott et al. (1989)
Titicaca	Peru/Bolivia	-16.00	-69.25	3809	14	1D	1	Wirrman and Mourgiart (1995)
<b>Middle East and Africa</b>								
Lisan/Dead Sea	Jordan/Israel	31.50	35.50	-395	45	3D	1	Street-Perrott et al. (1989)
Saoura	Algeria	30.00	-2.00	400	20	6D	1	Street-Perrott et al. (1989)
Kadda	Algeria	26.20	-0.88	250	3	2D	1	Street-Perrott et al. (1989)
Nabta	Egypt	23.00	31.00	250	33	7	0	Street-Perrott et al. (1989)
Bilma	Niger	18.75	13.00	310	10	7	0	Street-Perrott et al. (1989)
Mundafan	S. Arabia	18.53	45.42	870	32	7	0	Street-Perrott et al. (1989)
Fachi	Niger	18.12	11.67	275	9	7	0	Street-Perrott et al. (1989)
Jebel Marra	Sudan	13.00	24.23	2000	6	7	1	Street-Perrott et al. (1989)
Abhe	Ethiopia/Djibouti	11.25	42.00	242	84	4D	1	Jolly et al. (1998a)
Ziway-Shala	Ethiopia	7.75	38.67	1558	52	7	1	Street-Perrott et al. (1989)
Bosumtwi	Ghana	6.50	-1.42	100	51	2D	-1	Jolly et al. (1998a)
Turkana	Kenya	5.00	36.00	375	51	7	0	Street-Perrott et al. (1989)
Barombi-Mbo	Cameroon	4.39	9.24	301	16	2C	-1	Jolly et al. (1998a)
Mobuto Sese Seko	Uganda/Zaire	1.50	31.00	619	7	5C	0	Street-Perrott et al. (1989)
Bogoria	Kenya	0.30	36.10	990	33	7	-1	Jolly et al. (1998a)
Nakuru-Elmenteita	Kenya	-0.42	36.17	1750	22	4D	-1	Street-Perrott et al. (1989)
Naivasha	Kenya	-0.68	36.33	1890	10	4C	1	Street-Perrott et al. (1989)
Victoria	Uganda/Tanzania/Kenya	-1.00	33.00	1134	34	3D	-1	Street-Perrott et al. (1989)
Magadi	Kenya	-1.83	36.30	600	22	5C	1	Jolly et al. (1998a)
Manyara	Tanzania	-3.62	35.82	945	14	1C	1	Street-Perrott et al. (1989)
Tanganyika	Burundi/Tanzania/Zambia/Zaire	-6.03	28.50	773	29	1D	-1	Jolly et al. (1998a)
Cheshi-Mweru Wantipa	Zambia	-8.87	29.68	928	4	7	0	Street-Perrott et al. (1989)
Malawi	Malawi	-12.00	34.50	622	25	6C	1	Jolly et al. (1998a)
Alexandersfontein	South Africa	-28.83	24.80	1119	39	6C	1	Street-Perrott et al. (1989)
Breek Been Kolk	South Africa	-30.80	20.10	800	1	6C	1	Street-Perrott et al. (1989)
Haaskraal	South Africa	-31.42	24.37	1900	2	7	-1	Street-Perrott et al. (1989)
<b>Asia and Australia</b>								
Chabyer	China	31.3	84.1	4421	3	7	1	Qi and Zheng (1995)
Frome	Australia	-30.75	139.83	-2	46	7	-1	Harrison (1989)
Storey's	Australia	-31.52	118.03	300	2	2D	0	Harrison (1989)

The quoted latitude and longitude are for a location in the approximate centre of the basin; the elevation is that of the modern lake surface, or the lowest elevation is the basin if there is no modern lake. The number of radiocarbon dates indicates those used to construct a site chronology for the lake-level history, usually the number of reliable dates from all sites in the basin. The number may therefore differ from the number given in Table 1 for the same site. Dating control (DC) is as described in the caption to Table 1

### 3.5 Estimation of changes in $P-E$ from lake records

Most of the data used to reconstruct  $P-E$  changes (Table 4) are taken from the Oxford Lake-Level Data Base (OLLDB; Harrison 1988, 1989; Street-Perrott et al. 1989) or from the African Lake Status Data Base (ALSDB; Jolly et al. 1998a). These data bases use a consistent approach to the reconstruction of lake level records. Sites from the tropical Americas and China which have been published since the completion of the OLLDB have been coded for this study using the same approach. The coding scheme (low, intermediate, high) used by the OLLDB is less well resolved than the coding schemes used by more modern lake data bases, including the ALSDB. For this reason, as with PAM, we give only the sign of the inferred change in  $P-E$ .

We do not use evidence from lake sediments (for MTCO, PAM or  $P-E$ ) at any site where there is unequivocal evidence of a sedimentary hiatus including the LGM. The occurrence of a hiatus is often used as evidence for a period when the lake basin was dry, implying low  $P-E$  (e.g. Ledru et al. 1998). Without a detailed sedimentary analysis, however, one cannot tell whether such a hiatus was caused by drier conditions during the LGM, or the removal of LGM sediments during a post-LGM dry phase (e.g. Street-Perrott and Harrison 1985). In a few cases where there may be a hiatus according to one interpretation of the stratigraphy, we have used the information, but Table 1 indicates the possible existence of a hiatus.

## 4 Results

### 4.1 MTCO

The reconstructions of MTCO (Table 1, Fig. 2a–c) show a general lowering of temperature, with the majority of tropical sites cooling by  $-2$  to  $-6$  K. There is also some indication of geographic pattern. Relatively slight cooling by  $\approx -2$  K is shown consistently at sites on islands in the southern tropical Pacific. Relatively large cooling, by  $\approx -5$  K or more, is shown at numerous sites (including low-elevation sites) in the Neotropics (C and S America). Cooling by  $-6$  K or more is shown at some high-elevation sites.

The largest cooling is shown in the northern subtropics (Florida and southern China). In Florida, response-surface reconstructions of MTCO indicate winter temperature anomalies of  $-8$  to  $-15$  K (Prentice et al. 1991; Webb et al. 1993). In southern China, cool conifer, cool mixed and temperate deciduous forests replaced the modern warm mixed and tropical evergreen forests at the LGM (Yu et al. unpublished data). Reconstructions based on the cold-tolerance limits of plant types represented in the pollen spectra from southeastern China yield estimates of the LGM temperature anomaly of at least  $-7$  to  $-10$  K. Note that these are cold-month anomalies, which at subtropical latitudes need not resemble MAT anomalies because of the seasonality of the temperature regime.

### 4.2 MAT

Seven published sites to date provide reliable estimates of the change in mean annual ground temperature at the LGM (Fig. 2d), but only two of these (Chad Basin, Serra Grande Aquifer) lie in the tropics *sensu stricto*. The data indicate a lowering in MAT in the recharge zones of each catchment in the range  $\approx -4$  to  $-6$  K. The range of MAT anomaly estimates is thus less than the range of MTCO anomaly estimates. However, there are no geochemical MAT estimates e.g. from the Pacific islands or Indonesia that could be compared with the relatively small MTCO anomaly estimates obtained there. Thompson et al. (1995) inferred a larger annual cooling of  $-8$  to  $-12$  K from  $\delta^{18}\text{O}$  measurements in a low-latitude ice core at about 6000 m, which is consistent with our finding of larger MTCO anomalies at higher elevations.

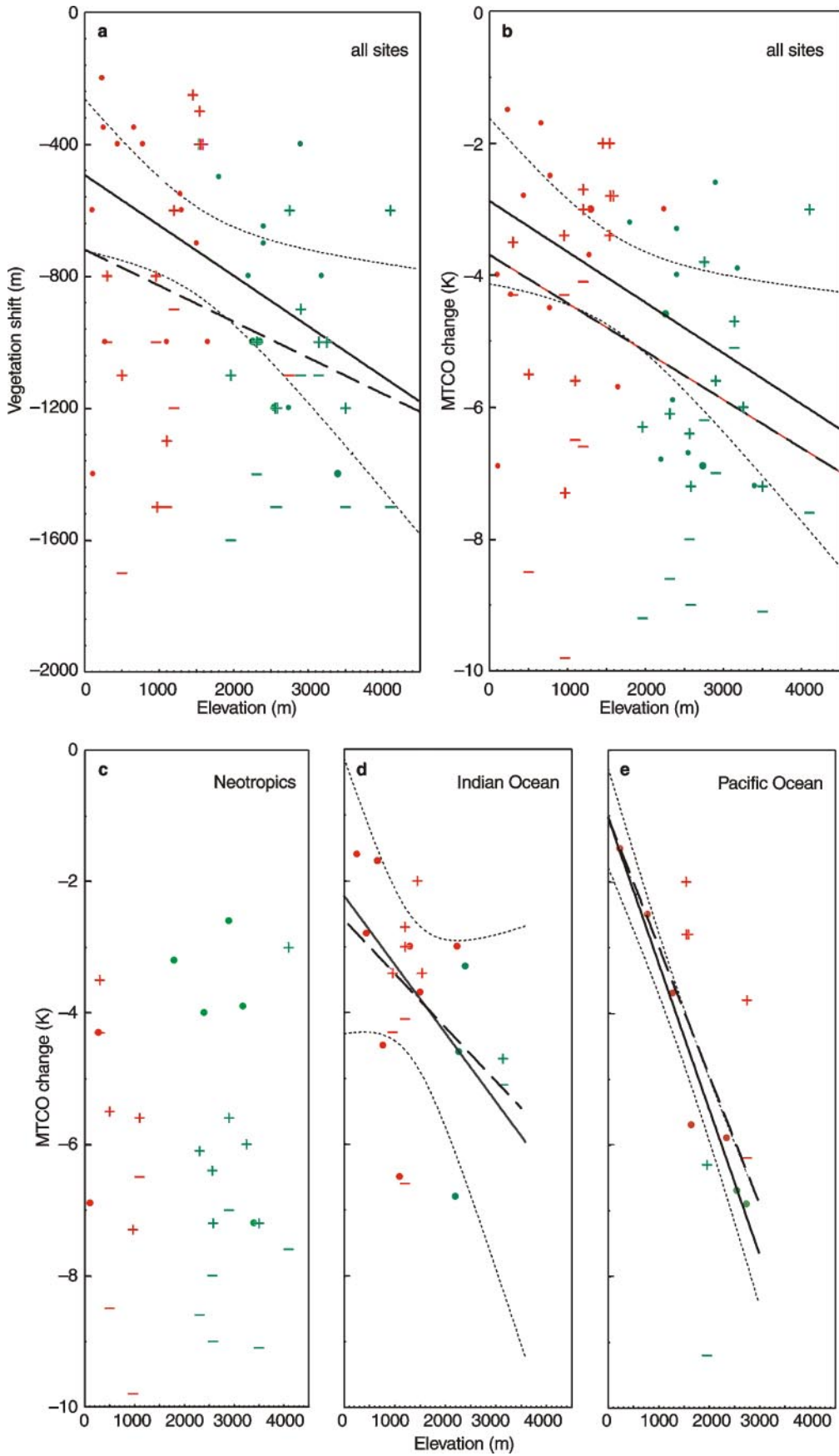
MAT and MTCO estimates diverge markedly only in the northern subtropics, where MAT anomalies continue to be around  $-4$  to  $-6$  K, while MTCO estimates of the cooling are  $-8$  K or larger. A simple hypothesis to explain this divergence is that greater cooling in the winter season is produced by advection of cold air from the adjacent continents.

### 4.3 Temperature anomalies and elevation

When reported elevation shifts of vegetation belts are regressed against the elevation of the site at which each shift was estimated (Fig. 3; Table 5), it becomes clear that the magnitude of these shifts increases systematically with height above modern sea level. The correlation between elevation change and modern elevation (based on point estimates) is 0.45 for the whole study region, rising to 0.53 when only the equatorial belt ( $15^\circ\text{S}$ – $15^\circ\text{N}$ ) is considered. The corresponding regressions are significant ( $P < 0.02$ ). The estimated average vegetation zone depression is  $-500$  m (or  $-465$  m for the equatorial belt) at modern sea level with  $-0.19$  m ( $-0.24$  m for the equatorial belt) additional lowering for each 1 m increase in elevation. The minimum and maximum estimates tend to bracket the main regression line, and there is no systematic relationship between site elevation and the residuals from the regression, indicating that the linear regression representation is appropriate for these data. The regression relationship for all sites remains significant ( $P \leq 0.01$ ) when all of the data, including maximum and minimum estimates, are used. The estimated vegetation zone depression is somewhat larger ( $-720$  m) and possibly inflated by the inclusion of high maximum estimates, while the estimated increase with elevation is somewhat smaller ( $-0.11$  mm $^{-1}$ ).

Estimated MTCO anomalies tell essentially the same story. The data used for these analyses also include statistical estimates of change in MTCO, but they exclude estimates from the areas of extreme winter cooling in Florida and south China. The analyses based on point estimates give  $r = 0.44$ , rising to 0.48 when only the equatorial belt is considered, and are significant ( $P \approx 0.02$ ). The regression indicate an average cooling at modern sea level by  $-2.9$  K ( $-2.8$  K for the equatorial belt) with lapse rates overall steeper by 0.8 (0.79 for all sites, 0.81 for the equatorial belt) K km $^{-1}$ . Regressions including all estimates are again significant ( $P < 0.002$  and  $< 0.02$  for all sites and the equatorial band respectively) and similar to the regressions based on point estimates, although the estimated cooling at modern sea level is slightly larger ( $-3.6$ , or  $-3.9$  K for the equatorial belt) and the estimated lapse rate increase is somewhat larger ( $-0.9$ , or  $-0.8$  K km $^{-1}$  for the equatorial belt).

The estimates based on treeline lowering, which could in principle be influenced by low  $[\text{CO}_2]$ , do not systematically depart from these regressions (Fig. 3). When sites reflecting treeline changes are removed from the analyses, the significance of the regressions is reduced to  $P < 0.4$  (due mainly to the insufficient sample size) yet the estimated regression slopes and intercepts remain almost identical: we estimate a depression at modern sea level by  $-510$  m increasing by  $-0.18$  m per 1 m of height, or a MTCO reduction at modern sea level of  $-3.0$  K increasing by



**Table 5** Dependence of cold-month temperature (MTCO) anomalies on elevation: summary of regression results. Slope estimates given in parentheses are non-significant ( $P > 0.05$ ).  $\Delta h(0)$  is estimated change in vegetation zone elevations at modern sea level;  $\Delta T(0)$  is estimated change in MTCO at modern sea level;  $n$  is number of points;  $r$  is correlation coefficient;  $P$  is probability of the null hypothesis, i.e. no elevation effect

<i>Results based on point estimates</i>										
	Elevation shifts					MTCO anomalies				
	$\Delta h(0)$ (m)	Slope ( $\text{m m}^{-1}$ )	$n$	$r$	$P$	$\Delta T(0)$ (K)	Slope ( $\text{K km}^{-1}$ )	$n$	$r$	$P$
All sites <sup>a</sup>	− 493	− 0.19	26	0.45	0.019	− 2.88	− 0.79	28	0.44	0.018
Equatorial belt only	− 465	− 0.24	19	0.53	0.020	− 2.81	− 0.81	21	0.48	0.028
Non-tree line only	− 512	(− 0.18)	13	0.26	0.400	− 2.99	(− 0.60)	15	0.26	0.350
Neotropical region	− 1063	(0.09)	7	0.31	0.510	− 5.09	(0.25)	7	0.19	0.680
Indian Ocean region	− 315	− 0.24	10	0.74	0.010	− 2.23	(− 1.04)	12	0.47	0.120
Pacific Ocean region	− 107	− 0.18	8	0.98	< 0.001	− 1.03	− 2.21	8	0.98	< 0.001

<i>Results based on point, maximum and minimum estimates</i>										
	Elevation shifts					MTCO anomalies				
	$\Delta h(0)$ (m)	Slope ( $\text{m m}^{-1}$ )	$n$	$r$	$P$	$\Delta T(0)$ (K)	Slope ( $\text{K km}^{-1}$ )	$n$	$r$	$P$
All sites <sup>a</sup>	− 721	− 0.11	64	0.28	0.002	− 3.62	− 0.92	66	0.37	0.002
Equatorial belt only	− 796	(− 0.08)	55	0.20	0.140	− 3.94	− 0.84	57	0.31	0.020
Non-tree line only	− 834	(0.03)	34	0.04	0.840	− 4.05	(− 0.22)	36	0.05	0.790
Neotropical region	− 1287	(0.06)	24	0.19	0.330	− 6.01	(− 0.24)	28	0.06	0.770
Indian Ocean region	− 434	− 0.19	22	0.52	0.020	− 2.59	− 0.80	22	0.42	0.050
Pacific Ocean region	− 106	− 0.37	15	0.71	< 0.001	− 1.06	− 1.99	15	0.71	< 0.001

<sup>a</sup> Excluding S China, SE USA.

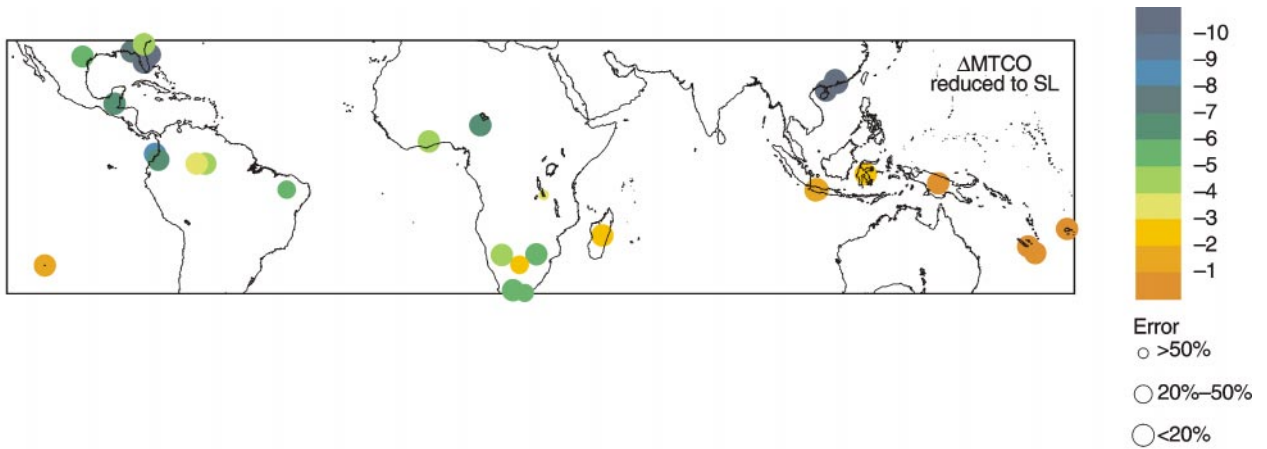
− 0.6  $\text{K km}^{-1}$ . Thus, the relationships with elevation are not wholly dependent on treeline shifts, and the observed treeline shifts conform to the same pattern as the shifts of vegetation zones at lower elevations.

Inspection of the spatial patterns in Fig. 2 suggests however that the cooling was not spatially homogeneous, even at constant elevation. Some of the scatter around the global regression lines might be due to geographic variations in the sea-level cooling, in the relationship with elevation, or both. We therefore performed separate analyses for three regions defined *a priori* as follows: the Neotropical region, represented by records from Central America and the northern part of South America; the Indian Ocean region, repre-

sented by records from South and East Africa, India and Indonesia; and the Pacific Ocean region, including Papua New Guinea and Pacific island sites (Fig. 3; Table 5). Thus partitioned, the data show considerable geographic patterning both in the sea-level temperature change estimates and in the implied changes in lapse rate. For the Neotropical region, we estimate the largest vegetation zone depression (− 1100 m) and cooling (− 5.6 to − 5.7 K) with no significant elevation effect. For the Indian Ocean region, the estimates are similar to those for the data set as a whole: − 2.2 to − 2.6 K cooling at modern sea level, increasing by − 0.8 to − 1.0  $\text{K km}^{-1}$ , with  $r = 0.42$  to 0.47 and  $P = 0.12$  (for point estimates) or 0.05 (for all estimates). For the Pacific Ocean region, we estimate the smallest cooling (− 1.0 to − 1.1 K) at modern sea level, increasing by − 2.0 to − 2.2  $\text{K km}^{-1}$ , with  $r = 0.98$  for point estimates,  $r = 0.71$  for all estimates, and high significance ( $P < 0.001$ ) in both analyses.

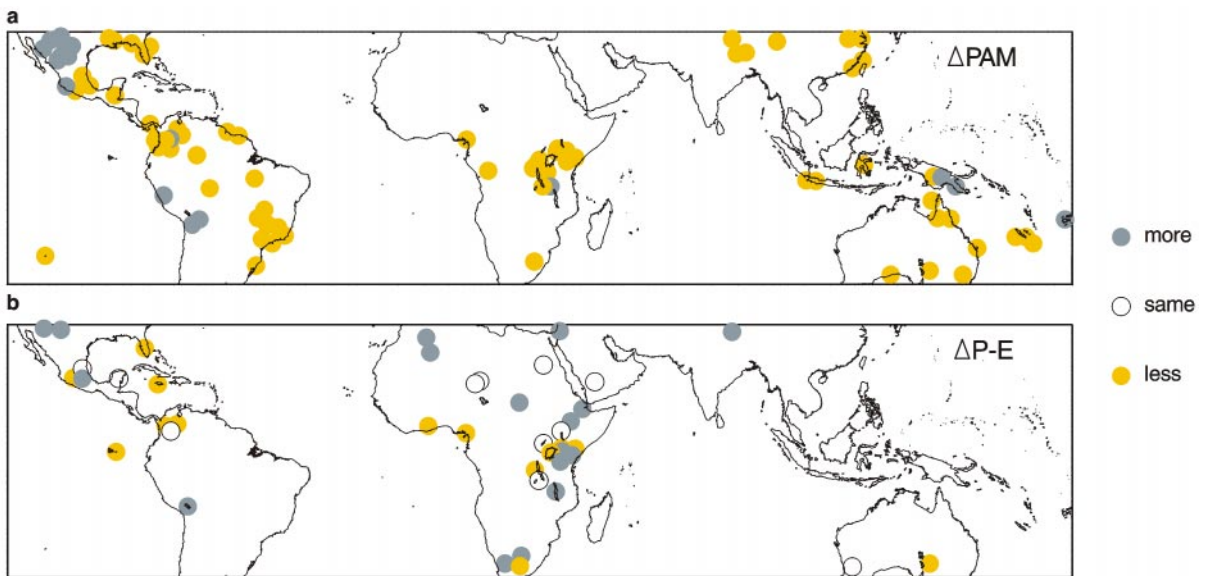
These regional differences in sea-level cooling can be seen in Fig. 2a–c, but are partially confounded by the proximity of sites at very different elevations. This confounding of geographic and elevation effects is most marked in Indonesia and Papua New Guinea. The geographic patterns are brought out more clearly in Fig. 4, where the estimated MTCO and MAT anomalies from sites at < 1500 m elevation have been reduced to modern sea level using the main regional

**Fig. 3a–e** Cooling as a function of site elevation: **a** uncorrected elevation shifts: all data; **b** estimated cold-month anomalies: all data including statistical estimates, but excluding northern subtropics; **c–e** as **b** but for Neotropical region, Indian Ocean region, Pacific Ocean region. *Fitted lines* are least-squares linear regressions (Table 5). *Solid lines* and *curve envelopes* refer to regressions based on point estimates; *dashed lines* to regressions based on all estimates. *Symbols*: • point estimates, + minimum estimates (i.e. smallest cooling), − maximum estimates (i.e. greatest cooling), *Symbols in green* are for sites where the elevation change refers to the upper forest limit (treeline) and those in *red* to non-treeline sites



**Fig. 4** Estimated temperature anomalies (MTCO or MAT) at modern sea-level based on sites at elevation  $< 1500$  m, for comparison with sea-surface conditions. Sites for which only a maximum or a minimum estimate of the temperature change is available are not included on this map, with the exception of three sites from the same region of southern China. Vegetation changes at each of these three

sites yield either a maximum or a minimum estimate of  $-10$  K, indicating that the actual change was very close to this value. A temperature correction is made for sites in the Indian Ocean and Pacific Ocean regions, using the slope coefficients (slope,  $\text{K km}^{-1}$ ) based on point estimates in Table 5



**Fig. 5 a** Directions of change in plant-available moisture (PAM) inferred from pollen and plant macrofossil data; **b** directions of change in precipitation minus evapotranspiration ( $P-E$ ) inferred from lake level reconstructions

regression results. (Sites outside the regions are shown without reduction to sea level. Any such reduction would have little effect because these sites are all at low elevations.) The smaller estimates of sea-level cooling, are associated with the southern tropical Pacific and regions bordering the Indian Ocean while larger estimates characterize the northern Neotropics, West Africa and the northern subtropics, indicating a strong geographic pattern that might be related to geographic variation in SSTs.

#### 4.4 PAM

Eighty sites provide qualitative records of the change in PAM between the LGM and present. PAM was apparently less than today across most of the tropical zone (Fig. 5). Only three regions show conditions wetter than today: the now-arid regions of western North America, high elevation sites in the Andean Altiplano, and sites in montane Papua Guinea. However, there are large areas (e.g. northern Sahara

and the Middle East) without information about changes in PAM.

#### 4.5 $P-E$

Forty lake sites record qualitative changes in mean annual  $P-E$  between the LGM and present (Fig. 5). A more positive mean annual  $P-E$  is recorded in the now-arid basins of western North America, and on the southern Andean Altiplano. Records from the central Sahara suggest that conditions were as dry as today. High lake levels in the northwestern Sahara (Conrad 1969; Causse et al. 1988) may reflect problems in the interpretation or dating of the sites involved but, given that lakes in the Mediterranean region were high (Prentice et al. 1992a; Harrison and Digerfeldt 1993) and that sites in the Middle East also indicate a more positive  $P-E$  (Roberts and Wright 1993), it seems possible that these lakes are fed by runoff from the Atlas mountains and are thus registering conditions unrelated to the local climate (Street-Perrott et al. 1989).

Otherwise,  $P-E$  was less than today across the tropics, from central America into western Africa. In equatorial East Africa the lakes show conditions similar or drier than present to the west of about  $34^{\circ}\text{E}$  and similar or wetter than present to the east. A site from the Tibetan plateau registers a more positive  $P-E$  balance than today. Some sites from southern Africa imply a more positive  $P-E$  than today, but the single site from the Australian subtropics registers drier conditions.

Data on PAM and  $P-E$  are to some extent complementary in terms of the regions included, and this gives rise to a superficial difference between the maps. However, in areas where both types of data exist, the direction of change is almost consistently the same in both. A possible exception is the Tibetan plateau, where preliminary results from further sites confirm high lake levels while pollen evidence suggest lower than present PAM.

The sites showing wetter conditions than present in the tropics *sensu stricto*, either in PAM or in  $P-E$ , are all at high elevations. This suggests that strong cooling at high elevations might have disproportionately reduced atmospheric evaporative demand, leading to increased  $P-E$ .

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## 5 Discussion and conclusions

### 5.1 Temperature changes

MAT and MTCO are expected to vary in broadly similar ways at low latitudes because of the low seasonality of insolation at low latitudes, which offers limited scope for changes in temperature seasonality.

Yet the estimates from different types of data need not *a priori* agree since they have different sensing characteristics. In particular, the temporal smoothing inherent in the noble gas technique means that estimates of MAT obtained with this technique may include a component due to extreme events occurring in a broad temporal interval, possibly even including Heinrich events. In the mid-latitudes, MAT and MTCO anomalies could more easily diverge as the summer and winter temperature regimes there are fundamentally different. We find a systematic difference between estimated MAT and MTCO anomalies in the northern subtropics (Fig. 2). However, in the tropics *sensu stricto* and in the southern subtropics the MAT estimates do not systematically differ from the MTCO estimates. The one speleothem MAT estimate (in southern Africa) resembles the nearby noble-gas MAT and MTCO estimates, despite the differences in the sensing characteristics of the three data.

The geochemical estimates of MAT lowering give the superficial impression of a relatively uniform tropical and subtropical cooling by  $-4$  to  $-6$  K, whereas the pollen-based estimates of MTCO lowering vary more widely. However there are no demonstrable inconsistencies between the two types of record, except in the northern subtropics. The MTCO estimates are more numerous and spread across a wider range of modern environments. Relatively slight cooling is shown by pollen data from regions adjoining the Indian Ocean and from islands in the southern Pacific, while large cooling is shown at high elevations in all regions. There are as yet no geochemical estimates from the regions where the MTCO estimates show only slight cooling. Nor are there geochemical estimates from high elevations, apart from the ice-core estimate of Thompson et al. (1995) from  $>6000$  m. This ice-core estimate supports our finding of extreme cooling at very high elevations, even though the MTCO data from the Neotropics show no significant dependence on elevation in the lower elevation range (0–3500 m).

In the northern subtropics, there is a marked divergence of winter temperature anomalies from the MAT anomalies. A simple explanation is that winters were cooled by much more than the other seasons, due to enhanced advection of anomalously cold air from the continental interiors. This explanation is consistent with PMIP model results that consistently show large wintertime cooling of both the interior of North America and E Asia (Pinot et al. this volume). Quantitative reconstructions of MTCO lowering by  $-15$  to  $-19$  K have also been derived from sites at about  $35^{\circ}\text{N}$  in the Mediterranean region, suggesting a similar climatological explanation for extreme cooling in Europe (Peyron et al. 1998).

A robust feature of the MTCO reconstructions is their dependence on elevation, particularly in the Palaeotropics (Fig. 3). Taking this elevation effect into account, we estimate that tropical temperatures were

depressed by on average  $-2.9$  K at modern sea level. After reducing the estimated temperature anomalies to modern sea level using regional relationships between MTCO anomalies and elevation, we obtain a more spatially coherent geographic pattern (Fig. 4) allowing us to estimate that the southern tropical Pacific and lowland regions bordering the Indian Ocean cooled by  $-2$  K or less while lowland Central and South America and regions bordering the tropical Atlantic cooled by  $-4$  to  $-6$  K. This finding is consistent with the conclusions of Colinvaux et al. (1996) concerning the Neotropics, while indicating that cooling in other tropical lowland regions was less dramatic.

## 5.2 Land–ocean connections

CLIMAP Project Members (1981) showed that there were important regional differences in the magnitude of sea-surface cooling at the LGM, and specifically that there were some tropical oceanic regions where the cooling was small or where the reconstructions imply conditions warmer than present (including the southern Pacific gyre). However, Broccoli and Marciniak (1996) have pointed out that the warm tropical Pacific reconstruction in CLIMAP relies on cartographic extrapolation from a few data points with high uncertainty. Our reconstructions of temperature anomalies reduced to sea level (Fig. 4) also show geographic structure. This structure is moreover consistent in certain important qualitative respects with geographic patterns shown in the CLIMAP (1981) reconstruction and in the original CLIMAP data set (Broccoli and Marciniak 1996). In particular, the areas of weak cooling (or warming) shown by CLIMAP for the southern Pacific and northern Indian Oceans agree qualitatively with our estimates of relatively slight cooling at sea level on adjacent land areas, while the stronger cooling shown by CLIMAP in the Caribbean and eastern subtropical Pacific agrees qualitatively with the evidence for relatively large cooling at sea level in Central America.

The average sea-surface cooling implied by the reconstructions from CLIMAP Project Members (1981) is only  $\approx -1.5$ . Several recent studies however have suggested that tropical SST anomalies at the LGM must have been colder, perhaps by several degrees, than originally reconstructed by CLIMAP (Anderson and Webb 1994; Webb et al. 1997). Studies based on re-examination of the foraminiferal data (Prell et al. 1996; Anderson and Webb 1998), stable isotopes in foraminifera (Patrick and Thunell 1997), Sr/Ca ratios in corals (Guilderson et al. 1994) and alkenone palaeothermometry (Sikes and Keigwin 1994; Bard et al. 1997, 1998) have all indicated a tropical ocean surface colder than CLIMAP. Some studies have implied that there was a *general* cooling of the tropical ocean by as much as  $-5$  K (e.g. Guilderson et al.

1994). The terrestrial data clearly support the view that the tropical ocean surface at the LGM was colder than indicated by the CLIMAP reconstruction (Stute et al. 1995). At the same time, the data limit the plausible magnitude of the cooling, especially when the effect of lowered sea level ( $-120$  m) is taken into account. This lowering itself implies that any point on the present land surface would be cooled by about  $-0.5$  K, even if SSTs did not change. Thus, the terrestrial data imply that the tropical average SST anomaly was only about  $-2$  to  $-2.5$  K. This inference is consistent with the average LGM cooling of the tropical ocean as reconstructed from alkenone palaeothermometry (Bard et al. 1998).

Our estimate of the tropical average SST anomaly is consistent with results from coupled atmosphere-ocean models of “intermediate complexity” (Ganopolski et al. 1998; Weaver et al. 1998). The PMIP simulations with mixed-layer ocean models tend to fall into two classes: those that produce a uniform tropical cooling by  $\approx -5$  K or more, and those that cool the tropical ocean in global average by about the same as the CLIMAP reconstruction or by a further  $\approx -1$  K. Within the latter class, some models are better able to reproduce the spatial patterns of cooling suggested by the terrestrial data (Pinot et al. this volume). The coupled atmosphere-ocean dynamic simulation by Bush and Philander (1998) also shows spatial patterns that are broadly consistent with the terrestrial data, with anomalies of  $\approx -2$  K in the southern Pacific and Indian Oceans contrasting with  $\approx -4$  to  $-6$  K in the Pacific to the west of Central America and the northern part of South America. Comparable features are shown by the coupled model used by Weaver et al. (1998), which includes a full three-dimensional ocean model.

## 5.3 Water balance

The two independent, qualitative indicators of change in water balance, PAM based on palaeoecological records and  $P-E$  based on lake level records, like the various temperature indicators are not necessarily congruent *a priori* but appear to be so on the basis of the mapped observations within the region of study. Thus, the changes in PAM and  $P-E$  are both positive in western North America and on the Andean Altiplano. They are both negative in the equatorial zone of the Americas and western Africa, and in Australia. Although the PAM and  $P-E$  anomaly maps (Fig. 5) appear different at first glance, this reflects the fact that there are very few estimates of PAM in regions that are arid today and were wetter at the LGM.

The agreement between PAM and  $P-E$  is consistent with a simple hypothesis that the tropical land areas received less precipitation annually, while the southward shift of the jet stream (COHMAP Members 1988; Kutzbach et al. 1993; Kutzbach et al. 1998) brought



increased precipitation to the southwestern USA. The apparent wetness of high elevations may be due to strong cooling, reducing evaporative demand more than any increase in precipitation at these elevations. Generally reduced precipitation in the tropics and subtropics and enhanced precipitation in the path of southward-shifted jet streams in the Northern Hemisphere are also consistent features of PMIP simulations for the LGM (Pinot et al. this volume).

#### 5.4 Possible causes of anomalous temperature-elevation relationships

Several Quaternary scientists have discussed the hypothesis that tropical lapse rates might have been steeper at the LGM than today (e.g. Van der Hammen 1974, 1991; Walker and Flenley 1979; Bakker 1990; Van der Hammen and Absy 1994). The hypothesis was proposed initially to explain an apparent discrepancy between CLIMAP (1976, 1981) tropical SST estimates for the LGM and the evidence for ELA depressions of 1000 m or more in tropical mountain regions. Reconciliation of these two sets of observations in physical terms has proved challenging (Rind and Peteet 1985; Webster and Stretten 1978; Broecker and Denton 1990; Rind 1990; Betts and Ridgway 1992).

The MTCO data compiled here indicate that the effective (i.e. terrestrial) lapse rates at the LGM were indeed steeper than present in some regions, although there is insufficient evidence for this effect in the Neotropics. A complete treatment of possible mechanisms behind altered temperature-elevation relationships must take account of ELA data as well as vegetation shifts, because the use of both types of information provides additional constraints on the vertical profiles of precipitation and atmospheric water vapour content (Broecker and Denton 1990; Broecker 1997). A great deal of new information on ELA shifts has also come into existence during the last decade (e.g. Ono 1988; Schubert and Clapperton 1990; Rodbell 1992; Fox and Bloom 1994; Helmens et al. 1996; Sharma and Owen 1996; Thouret et al. 1996; Ono and Naruse 1997). Compilation of tropical ELA data is underway, and will form the subject of a separate review (Part II of this synthesis). Here we draw attention to a variety of observations and model results that make increased terrestrial lapse rates at LGM a physically plausible possibility.

1. Thermodynamic considerations dictate that the moist adiabatic lapse rate steepens as surface temperature is lowered (e.g. Hartmann 1994, p. 354; Betts and Ridgway 1992), and free-air lapse rates in the tropics are often close to the moist adiabatic rate (Webster and Stretten 1978). Given an ocean surface colder than CLIMAP, the thermodynamic effect should be stronger than was estimated by Rind and Peteet (1985) based on CLIMAP SSTs. Indeed, Rind

and Peteet (1985) found that an artificial lowering of tropical SSTs by 2 K relative to the CLIMAP reconstruction produced significantly steeper lapse rates than in their “standard” LGM experiment. Webb et al. (1997), using the GISS atmospheric model coupled to a mixed layer ocean model, simulated a substantial LGM cooling of the tropical ocean, also accompanied by a significant increase in lapse rate.

2. Observations on the relationship between freezing heights and SST anomalies (Diaz and Graham 1996) indicate a sensitivity of as much as 600 m (equivalent to 3–5 K at  $\approx 6000$  m elevation) for each 1 K change at the surface. This result implies an amplification of changes in temperature at the surface of a similar order to that which we infer from the palaeodata. Diaz and Graham (1996) tentatively attribute the amplification to a tendency for warmer sea surface conditions to create an intensified hydrological cycle, causing the vertical structure of the atmosphere to more closely approach the moist adiabatic condition.
3. Using CLIMAP SSTs in a simulation with the LMDH atmospheric model, Pinot et al. (this volume) simulated an increase in tropical free-air lapse rates that could account for  $\approx 40\%$  of the elevation effect estimated in this work. They also showed a spatial pattern in this effect similar to that shown here, with the strongest lapse rate increase in the Pacific region, and the weakest in the Neotropics.
4. A biological feedback on lapse rates might be produced by reduced vegetation cover at the LGM. “Tropical deforestation” experiments with GCMs typically show reduced latent-energy flux and convective activity due to surface energy-balance changes that accompany the prescribed reduction in vegetation cover (e.g. Dirmeyer and Shukla 1994; Polcher 1995; Zhang et al. 1996a, b; see also Potter et al. 1975 for results obtained with an early, zonally averaged climate model). Reduced latent-energy flux and convective activity lead in turn to cooling and drying of the middle troposphere, contributing to a regional steepening of free-air lapse rates. Such effects have yet to be investigated in simulations of the LGM climate.
5. The difference between terrestrial and free-air lapse rates may admit processes that could further amplify the impact of low-elevation temperature changes on high-mountain climates, relative to those processes currently included in atmospheric models. Ground temperature in high mountains is close to the temperature of overlying air, while at low elevations it can be several degrees higher. Terrestrial lapse rates are thus likely to be steeper than lapse rates derived from radiosonde observations, and steeper than those simulated by atmospheric models in which mountains are represented in a highly smoothed manner. Such effects may account for the

large variations (both seasonal and spatial) which appear when lapse rates are estimated from climatological data, and which are considerably larger than the proposed difference in terrestrial lapse rates between LGM and present.

6. Further insight may come from observational and modelling studies of warmer than present climates. Pollen-based reconstructions for the mid-Holocene have shown that growing-season warming, relative to present, was amplified at higher elevations in the mountains of Europe (Huntley and Prentice 1988; Cheddadi et al. 1996) and eastern North America (Bartlein and Webb 1985). Cheddadi et al. (1996) inferred a lapse rate  $\approx 1 \text{ K km}^{-1}$  less steep than present in the generally warmer climate of 6000 y BP in Europe. A recent projection by Martin et al. (1997) of the effect of doubling  $\text{CO}_2$  on high mountain climates, using the CROCUS snow model, showed that the simulated upward retreat of snowline is considerably greater than would be expected based only on the sea-level temperature increase and the thermodynamic effect on free-air lapse rates.

We infer from these various lines of reasoning that there may well be a common mechanism linking observations of lowered SSTs at LGM to a weaker than present hydrological cycle, relative aridity on land as shown by PAM and  $P-E$  reconstructions, land-surface cooling as shown by a variety of data sources, and increased tropical lapse rates as shown by the reconstructions of MTCO at low and high elevations. A more complete analysis of the problem must however await the compilation of ELA data that is now in progress, and a more quantitative analysis of the processes that takes into account the full range of constraints provided by palaeodata for the LGM.

## 5.5 Concluding remarks

A relatively clear picture has emerged from superficially heterogeneous data describing the state of the land surface around the LGM. In particular, apparent differences between different data sources (e.g. PAM versus  $P-E$ ; geochemical estimates of MAT versus plant-based estimates of MTCO) largely disappear when the different spatial and elevational distributions of the data are taken into account. The single region where available MAT and MTCO anomaly estimates conflict (SE USA) is a subtropical region with a strongly seasonal climate today and where there are good reasons to expect that the seasonality was even greater at the LGM, allowing MTCO to be reduced more strongly than MAT. Our findings also have implications for the consistency of sea-surface temperature reconstructions. The terrestrial data provide support, albeit indirect, for the view that LGM sea-surface temperatures must have been lower than indicated by CLIMAP. At the same time, they set limits to the

plausible magnitude of the average tropical cooling. Climate change between LGM and today is shown to be characterized by large-scale spatial patterns, which plausibly originated in changes to the oceanic and atmospheric circulation. A variety of physical processes, some already captured in atmospheric models, can potentially account for the (still robust) finding that tropical lapse rates at the LGM were steeper than present, while the strength of this phenomenon itself is shown to vary greatly in space. Although much remains to be done to complete the picture of the ice age Earth, the available data provide a rich source of qualitative and quantitative information that can constructively challenge our ability to predict the response modes of the climate system.

## Appendix

A simple phenomenological model underlies the use of lapse rates in reconstructing temperature changes from elevational shifts in vegetation zones:

$$T(z) = T(0) - sz \quad (1)$$

$$T^*(z) = T^*(0) - s^*z \quad (2)$$

where  $T(z)$  is the modern temperature at elevation  $z$  above modern sea level,  $s$  is a modern terrestrial lapse rate, and  $T^*(z)$  and  $s^*$  denote the corresponding palaeotemperature and lapse rate. From Eqs. (1) and (2),

$$\Delta T(z) = \Delta T(0) - \varepsilon z \quad (3)$$

where  $\Delta T(z) = T^*(z) - T(z)$  is the temperature anomaly at elevation  $z$ ,  $\Delta T(0) = T^*(0) - T(0)$  is the temperature anomaly at modern sea level, and  $\varepsilon = s^* - s$  is the change in lapse rate.

Palaeoecological data indicate the past vegetation near the elevation of the sampling site. We denote this elevation by  $h^*$ , representing the elevation where this type of vegetation was found in the past. Knowing the elevation  $h$  at which similar vegetation is found today, we use Eqs. (1) and (2) to equate the temperatures  $T(h)$  and  $T^*(h^*)$ :

$$T(0) - sh = T^*(0) - s^*h^* \quad (4)$$

hence using relations (3) and (4),

$$s(h^* - h) = \Delta T(0) - \varepsilon h^* \quad (5)$$

By comparing Eqs. (3) and (5) we see that the standard estimator  $s(h^* - h)$  (where  $s$  is the modern lapse rate) is equivalent to the change in temperature at  $h^*$ , the elevation of the site. From Eq. (5), regression of this estimator against the site elevations will yield an intercept that estimates the temperature change at modern sea level, and a slope that estimates the change in lapse rate.

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